### Edgar Filing: SWIFT ENERGY CO - Form 3

SWIFT ENERGY CO

Form 3 May 02, 2016

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

À Strategic Value Partners, LLC

(Last)

(First)

(Middle)

(Month/Day/Year)

04/22/2016

Statement

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

SWIFT ENERGY CO [SFYWO]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O STRATEGIC VALUE PARTNERS, LLC, 100 WEST PUTNAM AVENUE

(Street)

Director \_X\_ 10% Owner Officer

(Check all applicable)

Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting

Person

X Form filed by More than One

Reporting Person

GREENWICH. CTÂ 06830

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect

(I) (Instr. 5)

Common Stock

 $3,655,319 \stackrel{(1)}{=} \stackrel{(2)}{=}$ 

Ι

I See footnotes (1) (2) (3) (4) (5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

**Expiration Date** (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Price of Derivative Derivative Security:

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Date Expiration Title Exercisable Date

Amount or Security Number of Shares Direct (D) or Indirect (I) (Instr. 5)

# **Reporting Owners**

|                                | Reporting Owner Name / Address   |          | Relationships |         |       |  |
|--------------------------------|--|----------|---------------|---------|-------|--|
| reporting owner rune / redress |  | Director | 10% Owner     | Officer | Other |  |
| C.                             | rategic Value Partners, LLC<br>O STRATEGIC VALUE PARTNERS, LLC<br>O WEST PUTNAM AVENUE<br>REENWICH, CT 06830       | Â        | ÂX            | Â       | Â     |  |
| C.                             | VP Special Situations III LLC<br>/O STRATEGIC VALUE PARTNERS, LLC<br>/O WEST PUTNAM AVENUE<br>REENWICH, CT 06830   | Â        | ÂX            | Â       | Â     |  |
| C.                             | VP Special Situations III-A LLC<br>/O STRATEGIC VALUE PARTNERS, LLC<br>/O WEST PUTNAM AVENUE<br>REENWICH, CT 06830 | Â        | ÂΧ            | Â       | Â     |  |
| C.                             | hosla Victor<br>/O STRATEGIC VALUE PARTNERS, LLC<br>00 WEST PUTNAM AVENUE<br>REENWICH, CT 06830                    | Â        | ÂX            | Â       | Â     |  |

# **Signatures**

| STRATEGIC VALUE PARTNERS, LLC By: /s/ James Dougherty Name: James Dougherty Title: Fund Chief Financial Officer    |      |  |  |
|--|------|--|--|
| **Signature of Reporting Person  | Date |  |  |
| SVP SPECIAL SITUATIONS III LLC By: /s/ James Dougherty Name: James Dougherty Title: Fund Chief Financial Officer   |      |  |  |
| **Signature of Reporting Person  | Date |  |  |
| SVP SPECIAL SITUATIONS III-A LLC By: /s/ James Dougherty Name: James Dougherty Title: Fund Chief Financial Officer |      |  |  |
| **Signature of Reporting Person  | Date |  |  |
| By: /s/ Victor Khosla Name: Victor Khosla  |      |  |  |
| **Signature of Reporting Person  | Date |  |  |

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported securities are held directly by SVMF 71 LLC, and may be deemed to be held indirectly by Strategic Value Partners, LLC ("Strategic Value Partners"), SVP Special Situations III LLC ("Special Situations III"), SVP Special Situations III-A LLC ("Special Situations III-A"), each as investment manager, and Victor Khosla ("Mr. Khosla" and together with Strategic Value Partners, Special Situations III and Special Situations III-A, the "Reporting Persons"), as the sole member of Midwood Holdings, LLC, the managing

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member of Strategic Value Partners, in each case as described below.

- The filing of this Form 3 shall not be construed as an admission that the Reporting Persons are or were for the purposes of Section 16(a) of the Securities Exchange Act of 1934, as amended, or otherwise the beneficial owners of any of the shares of common stock, of Swift Energy Company (the "Issuer"). Pursuant to Rule 16a-1, the Reporting Persons disclaim such beneficial ownership, except to the extent of their pecuniary interest.
- Strategic Value Partners is the investment manager of, and exercises investment discretion over Strategic Value Master Fund, Ltd., a

  (3) Cayman Islands exempted company ("Master Fund"). Master Fund has an ownership interest in SVMF 70 LLC, which has an ownership interest in SVMF 71 LLC. Strategic Value Partners is indirectly majority owned and controlled by Mr. Khosla.
  - Special Situations III is the investment manager of, and exercises investment discretion over Strategic Value Special Situations Master Fund III, L.P., a Cayman Islands exempted limited partnership ("Special Situations Master Fund III"). Special Situations Master Fund III
- (4) has an ownership interest in SVMF 70 LLC, which has an ownership interest in SVMF 71 LLC. Strategic Value Partners is the managing member of Special Situations III. Strategic Value Partners and Special Situations III are both indirectly majority owned and controlled by Mr. Khosla.
- Special Situations III-A is the investment manager of, and exercises investment discretion over Strategic Value Special Situations

  Offshore Fund III-A, L.P., a Cayman Islands exempted limited partnership ("Special Situations Offshore Fund III-A"). Special Situations

  Offshore Fund III-A has an ownership interest in SVMF 71 LLC. Strategic Value Partners is the managing member of Special Situations

  III-A. Strategic Value Partners and Special Situations III-A are both indirectly majority owned and controlled by Mr. Khosla.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.