### Edgar Filing: ANDERSON ROBERT R - Form 4

#### ANDERSON ROBERT R

Form 4

February 07, 2012

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

Form 4 or Form 5 obligations

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

ANDERSON ROBERT R		Symbol MKS INSTRUMENTS INC [MKSI]					C	Issuer			
								(Check all applicable)			
(Last)	(First)	(Middle)				ransaction				400	
2 TECH DRIVE			(Month/Day/Year) 02/06/2012					X Director 10% Owner Officer (give title Other (specify below)			
	(Street)		4. If Ame	endment	, Da	te Origina	ıl		6. Individual or Jo	oint/Group Filin	g(Check
			Filed(Mo	nth/Day/	Year	)			Applicable Line)		
ANDOVER, MA 01810								_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)					~				
(City)	(State)	(Zip)	Tab	le I - No	n-D	<b>Derivative</b>	Secui	rities Acqu	iired, Disposed of	, or Beneficial	ly Owned
1.Title of	2. Transaction Da			3.		4. Securi			5. Amount of	6.	7. Nature of
Security (Instr. 3)	(Month/Day/Year	r) Execution any	on Date, if	Transa Code	actio	on(A) or Di (Instr. 3,			Securities Beneficially	Ownership Form: Direct	Indirect Beneficial
(msu. 3)		•	Day/Year)	(Instr.	8)	(msu. 3,	T and	3)	Owned	(D) or	Ownership
		·							Following	Indirect (I)	(Instr. 4)
							(A)		Reported Transaction(s)	(Instr. 4)	
				C 1	<b>3</b> 7	<b>A</b>	or	D.	(Instr. 3 and 4)		
Common				Code	V	Amount	(D)	Price			
Stock	02/06/2012			M		5,000	A	\$ 20.07	26,000	D	
Common	02/06/2012			M		4,000	A	\$ 15 84	30,000	D	
Stock	02/00/2012			141		7,000	11		30,000	Ъ	
Common	00/06/0010					<b>7</b> 000		\$	25.000		
Stock	02/06/2012			S		5,000	D	31.992 (1)	25,000	D	
Common	02/06/2012			C		4.000	D	\$	21 000	D	
Stock	02/06/2012			S		4,000	D	31.972	21,000	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director Stock Option (Right to Buy)	\$ 20.07	02/06/2012		M	5,000	(3)	05/14/2014	Common Stock	5,000
Director Stock Option (Right to Buy)	\$ 15.84	02/06/2012		M	4,000	(3)	05/08/2015	Common Stock	4,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
ANDERSON ROBERT R 2 TECH DRIVE ANDOVER, MA 01810	X						

# **Signatures**

/s/Renee M. Donlan POA	02/07/2012			
**Signature of Reporting Person	Date			

Reporting Owners 2

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This transaction was executed in multiple trades at prices ranging from \$31.99 to \$32.01 The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide, upon request, the full information regarding the number of shares and prices at which the transaction was effected.
- This transaction was executed in multiple trades at prices ranging from \$31.96 to \$32.00 The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide, upon request, the full information regarding the number of shares and prices at which the transaction was effected.
- Option vests in full on the earlier of (i) the day before the subsequent year's annual meeting of shareholders or (ii) thirteen months from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.