#### MKS INSTRUMENTS INC

Form 4

February 27, 2014

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

5. Relationship of Reporting Person(s) to

Issuer

3235-0287 January 31, Expires:

0.5

**OMB APPROVAL** 

2005 Estimated average

burden hours per response...

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue.

1. Name and Address of Reporting Person \*

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

2. Issuer Name and Ticker or Trading

Symbol

1(b).

(Print or Type Responses)

COLELLA GERARD G

		•	MKS INSTRUMENTS INC [MKSI]			KSI]				
(Last)	(First)		3. Date of Earliest Transaction				(Check all applicable)			
2 TECH D	RIVE		(Month/Day/Year) 02/24/2014				_X_ Director 10% Owner _X_ Officer (give title Other (specify below) CEO, President, Director			
ANDOVE	(Street) R, MA 01810		Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
		(7:n)			Person					
(City)	(State)	(Zip)	Table I - Non-	Derivative Se	curitie	es Acquir	ed, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code Year) (Instr. 8)	4. Securities on Disposed of (Instr. 3, 4 an	of (D) d 5) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/24/2014		Code V M	Amount 4,283.587	(D)	Price (1)	7,608.079	D		
Common Stock	02/24/2014		M	2,914	A	(1)	10,522.079	D		
Common Stock	02/24/2014		F(2)	2,344	D	\$ 30.02	8,178.079	D		
Common Stock	02/25/2014		M	6,735.588	A	<u>(1)</u>	14,913.667	D		
Common Stock	02/25/2014		M	4,952	A	<u>(1)</u>	19,865.667	D		

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Common Stock O2/25/2014 O2/

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
	Security				(Instr. 3, 4, and 5)				
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Restricted Stock Unit	<u>(1)</u>	02/24/2014		M	4,283.587	(3)	(3)	Common Stock	4,283.58
Restricted Stock Unit	<u>(1)</u>	02/24/2014		M	2,914	<u>(4)</u>	<u>(4)</u>	Common Stock	2,914
Restricted Stock Unit	(1)	02/25/2014		M	6,735.588	(3)	(3)	Common Stock	6,735.58
Restricted Stock Unit	<u>(1)</u>	02/25/2014		M	4,952	<u>(4)</u>	<u>(4)</u>	Common Stock	4,952

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
<b>rg</b>	Director 10% Owner		Officer	Other				
COLELLA GERARD G 2 TECH DRIVE ANDOVER, MA 01810	X		CEO, President, Director					

### **Signatures**

/s/Renee M.
Donlan POA

\*\*Signature of Reporting Person

Date

Reporting Owners 2

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents the contingent right to receive one share of common stock of MKS Instruments, Inc.
- (2) This transaction was effected pursuant to a Rule 10b5-1 trading plan previously adopted by the reporting person.
- (3) These RSUs are subject to the achievement of performance criteria determined in the first year of the grant and thereafter vests in equal annual installments over three years.
- (4) The RSUs vest in equal annual installments over three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.