

CVS HEALTH Corp
Form 4
July 16, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Bisaccia Lisa

(Last) (First) (Middle)

ONE CVS DRIVE

(Street)

WOONSOCKET, RI 02895

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
CVS HEALTH Corp [CVS]

3. Date of Earliest Transaction
(Month/Day/Year)
07/15/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title below) ____ Other (specify below)

EVP, Chief HR Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	07/15/2015		S ⁽¹⁾	7,926 D	\$ 110 21,003	D	
Common Stock	07/15/2015		M	6,884 A	\$ 54.53 27,887	D	
Common Stock	07/15/2015		S ⁽¹⁾	6,884 D	\$ 110.01 21,003 (2)	D	
Common Stock	07/15/2015		M	6,884 A	\$ 54.53 27,887	D	
Common Stock	07/15/2015		S ⁽¹⁾	6,884 D	\$ 110 (3) 21,003	D	

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Common Stock	07/15/2015	M	8,392	A	\$ 74.29	29,395	D	
Common Stock	07/15/2015	<u>S</u> ⁽¹⁾	8,392	D	\$ 110 <u>(3)</u>	21,003	D	
Common Stock	07/15/2015	M	7,764	A	\$ 45.07	28,767	D	
Common Stock	07/15/2015	<u>S</u> ⁽¹⁾	7,764	D	\$ 110	21,003	D	
Common Stock	07/15/2015	M	12,058	A	\$ 34.96	33,061	D	
Common Stock	07/15/2015	<u>S</u> ⁽¹⁾	12,058	D	\$ 110 <u>(3)</u>	21,003	D	
Common Stock (restricted)						27,011.0004	D	
ESOP Common Stock						558.1776	I	Direct
Stock Unit						29,599.3373	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number of Shares
Stock Option	\$ 54.53	07/15/2015		M		6,884		04/01/2014 ⁽⁴⁾	04/01/2020	Common Stock	6,884
Stock Option	\$ 54.53	07/15/2015		M		6,884		04/01/2014 ⁽⁴⁾	04/01/2020	Common Stock	6,884
Stock Option	\$ 74.29	07/15/2015		M		8,392		04/01/2015 ⁽⁵⁾	04/01/2021	Common Stock	8,392

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Stock Option	\$ 45.07	07/15/2015	M	7,764	04/02/2013 ⁽⁶⁾	04/02/2019	Common Stock	7,764
Stock Option	\$ 34.96	07/15/2015	M	12,058	04/01/2012 ⁽⁷⁾	04/01/2018	Common Stock	12,058
Phantom Stock Credits	\$ 1				⁽⁸⁾	⁽⁸⁾	Common Stock	467.1
Stock Option	\$ 102.26				04/01/2016 ⁽⁹⁾	04/01/2022	Common Stock	34,200

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Bisaccia Lisa ONE CVS DRIVE WOONSOCKET, RI 02895			EVP, Chief HR Officer	

Signatures

Lisa G. Bisaccia 07/16/2015

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) All transactions were effected pursuant to a Rule 10b5-1 plan.

(2) Represents weighted average sale price for this trading day. Multiple sales were executed, with sales prices ranging between \$110.00 and \$110.06 per share.

(3) Represents weighted average sale price for this trading day. Multiple sales were executed, with sales prices ranging between \$110.00 and \$110.05 per share.

(4) Option became exercisable in four equal annual installments, commencing 4/1/2014.

(5) Option became exercisable in four equal annual installments, commencing 4/1/2015.

(6) Option became exercisable in four equal annual installments, commencing 4/2/2013.

(7) Option became exercisable in four equal annual installments, commencing 4/1/2012.

(8) Reflects year-end company-match share credits under a non-qualified deferred compensation plan; share credits are payable in cash only, as such time as has been elected by the reporting person.

(9) Option becomes exercisable in four equal annual installments, commencing 4/1/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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