### Edgar Filing: WESTAMERICA BANCORPORATION - Form 4

## WESTAMERICA BANCORPORATION

Form 4

January 26, 2017

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading THORSON JOHN A Issuer Symbol WESTAMERICA (Check all applicable) BANCORPORATION [WABC] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner \_X\_\_ Officer (give title Other (specify (Month/Day/Year) below) WESTAMERICA 01/24/2017 SVP/Chief Financial Officer BANCORPORATION (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting 4550 MANGELS BLVD 94534

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative	Secui	rities Acquir	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit ord Dispos (Instr. 3, 4)	ed of (	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/24/2017		M	400	A	\$ 50.76	400	D	
Common Stock	01/24/2017		S	400	D	\$ 56.99	0	D	
Common Stock	01/24/2017		M	1,200	A	\$ 42.695	1,200	D	
Common Stock	01/24/2017		S	1,200	D	\$ 56.7515	0	D	
Common Stock	01/25/2017		M	20,800	A	\$ 50.76	20,800	D	

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Common Stock	01/25/2017	S	20,800	D	\$ 56.9995	0	D	
Common Stock	01/25/2017	M	21,800	A	\$ 53.35	21,800	D	
Common Stock	01/25/2017	S	21,800	D	\$ 57.1969	0	D	
Common Stock	01/25/2017	M	7,600	A	\$ 42.695	7,600	D	
Common Stock	01/25/2017	S	7,600	D	\$ 56.8249	0	D	
Common Stock						415	I	as UGMA
Common Stock						415	I	as UGMA
Common Stock						118.349	I	Esop
Common Stock						7,152	I	By Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

# $\label{thm:convertible} \textbf{Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned} \\ \textit{(e.g., puts, calls, warrants, options, convertible securities)}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Ar Underlying Se (Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title 3
Non-qualified Stock Option (Right to Buy)	\$ 50.76	01/24/2017		M	400	01/27/2012(1)	01/27/2021	Common Stock
Non-qualified Stock Option (Right to Buy)	\$ 42.695	01/24/2017		M	1,200	01/22/2016(1)	01/22/2025	Common Stock

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Non-qualified Stock Option (Right to Buy)	\$ 50.76	01/25/2017	M	20,800	01/27/2012(1)	01/27/2021	Common Stock
Non-qualified Stock Option (Right to Buy)	\$ 53.35	01/25/2017	M	21,800	01/23/2015(1)	01/23/2024	Common Stock
Non-qualified Stock Option (Right to Buy)	\$ 42.695	01/25/2017	M	7,600	01/22/2016(1)	01/22/2025	Common Stock

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

THORSON JOHN A
WESTAMERICA BANCORPORATION
4550 MANGELS BLVD 94534

SVP/Chief Financial Officer

# **Signatures**

/s/John "Robert" A.
Thorson
01/26/2017

\*\*Signature of Reporting Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vest ratably over three years beginning one year from date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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