Edgar Filing: AMGEN INC - Form 4

AN ACTINI INTO

7										
May 03, 2017 FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). MITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940						OMB APPROVAL OMB 3235-028 Number: January 3 Expires: January 3 200 Estimated average burden hours per response 0.				
Responses)										
Johnston Lori A Symbol AMGEN (Last) (First) (Middle) 3. Date of (Month/D			mbol MGEN INC [AMGN] Date of Earliest Transaction Ionth/Day/Year)				5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X Officer (give title 10% Owner below) SVP, HR			
D 91320-1799							Person			
(State)	(Zip)	Table	e I - Non-E	Derivative S	ecuri	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
(Month/Day/Year)	Execution any	n Date, if	Code (Instr. 8)	ionAcquired Disposed (Instr. 3, 7 Amount	(A) o of (D 4 and (A) or) 5) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	7 4 UNITED s box STATEN 6. Filed pur inue. Section 17(inue. Section 17(inue. Section 17(ddress of Reporting ri A (First) (First) (I EN CENTER DR (Street) 0 (Street) 2. Transaction Date	7 4 UNITED STATES s box STATEMENT OF 6. Filed pursuant to S 6. Filed pursuant to S Section 17(a) of the F 30(h) of Responses) Section 17(a) of the F ddress of Reporting Person * 30(h) of Responses) (Middle) CENTER DRIVE (Street) D 91320-1799 (State) (Zip) 2. Transaction Date 2A. Deer (Month/Day/Year) Execution any	7 4 UNITED STATES SECUR s box STATEMENT OF CHANGES 6. Filed pursuant to Section 16 fs Section 17(a) of the Public Ution 30(h) of the Invention 30(h) of the Inventin 30(h) of the Inventin 30(h) of the Invention 30(h) of the Inven	7 14 UNITED STATES SECURITIES A Washington, sbox Statement of Changes in Statement of Changes in Secure Sinue. Filed pursuant to Section 16(a) of the Section 17(a) of the Public Utility Hold 30(h) of the Investment Responses) Section 17(a) of the Public Utility Hold 30(h) of the Investment Responses) AMGEN INC [A (dress of Reporting Person [±] 2. Issuer Name and Symbol AMGEN INC [A (First) (Middle) 3. Date of Earliest Tri (Month/Day/Year) CN CENTER DRIVE 05/01/2017 (Street) 4. If Amendment, Da Filed(Month/Day/Year) 0 (Street) 2. Transaction Date 2. Transaction Date 2A. Deemed 3. (Month/Day/Year) Execution Date, if Transacti any (Month/Day/Year) (Instr. 8)	7 4 UNITED STATES SECURITIES AND EXC Washington, D.C. 205 s box er STATEMENT OF CHANGES IN BENEFIT 6. SECURITIES 6. SECURITIES 5 Filed pursuant to Section 16(a) of the Securiti inue. Section 17(a) of the Public Utility Holding Com 30(h) of the Investment Company Responses) ddress of Reporting Person [*] . 2. Issuer Name and Ticker or T Symbol AMGEN INC [AMGN] (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) EN CENTER DRIVE 05/01/2017 (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) D 91320-1799 (State) (Zip) Code Disposed (Month/Day/Year) Code Disposed (Month/Day/Year) Code Disposed (Month/Day/Year)	7 4 UNITED STATES SECURITIES AND EXCHAN Washington, D.C. 20549 s box er STATEMENT OF CHANGES IN BENEFICIAL SECURITIES 6. SECURITIES Filed pursuant to Section 16(a) of the Securities Exists Section 17(a) of the Public Utility Holding Company action Section 17(a) of the Public Utility Holding Company action Section 17(a) of the Public Utility Holding Company action Section 17(a) of the Public Utility Holding Company action Section 17(a) of the Public Utility Holding Company action Section 17(a) of the Public Utility Holding Company action Section 17(a) of the Public Utility Holding Company action Section 17(a) of the Public Utility Holding Company action Section 17(a) of the Public Utility Holding Company action Section 17(a) of the Public Utility Holding Company action Address of Reporting Person [*] Section 17(a) of the Public Utility Holding Company action Address of Reporting Person [*] (First) Address of Reporting Person [*] Sector Ide (Month/Day/Year) Colspan="2"Sector Ide (Month/Day/Year) <td cols<="" td=""><td>7 4 UNITED STATES SECURITIES AND EXCHANGE OF Washington, D.C. 20549 s box er STATEMENT OF CHANGES IN BENEFICIAL OW SECURITIES 6. SECURITIES 5 Filed pursuant to Section 16(a) of the Securities Exchangers s box Section 17(a) of the Public Utility Holding Company Act of 19 status Section 17(a) of the Public Utility Holding Company Act of 19 ction 30(h) of the Investment Company Act of 19 ddress of Reporting Person⁺ 2. Issuer Name and Ticker or Trading Symbol AMGEN INC [AMGN] AMGEN INC [AMGN] (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) EN CENTER DRIVE 05/01/2017 (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) D 91320-1799 (State) (Zip) Table I - Non-Derivative Securities Act 2. Transaction Date 2A. Deemed 3. 4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or any Code (Month/Day/Year) Instr. 8) (Instr. 3, 4 and 5) (A) or Code (A) or Code</td><td>7 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 s box er STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF 6. SECURITIES 6. SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 18 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Responses) ddress of Reporting Person 1 2. Issuer Name and Ticker or Trading Symbol AMGEN INC [AMGN] (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director X. Officer (giv below) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or J. Applicable Line) X. Form filed by 1. Fo</td><td>7 4 OMB A 9 States Securities States Securities And Exchange Commission washington, D.C. 20549 Am 8 Statement of Changes in Beneficial ownership of Esponse. Expires: 6. Securities Exchange Act of 1934, is section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Securities Exchange Act of 1934, is section 30(h) of the Investment Company Act of 1940 tesponses ddress of Reporting Person 1 2. Issuer Name and Ticker or Trading Symbol AMGEN INC [AMGN] Check all applicable Line) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 100 Securities Child/Math/Day/Year) Sinelawa Switch and the line) SW PH, IR (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filin Applicable Line) S. Form filed by More than One Reporting Person (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficial Person 6. Ownership Securities Person 6. Ownership Person (Month/Day/Year) 3. 4. Securities Scienties Acquired, Disposed of, or Beneficial Person 6. Ownership Person 6. Ownership Person (S</td></td>	<td>7 4 UNITED STATES SECURITIES AND EXCHANGE OF Washington, D.C. 20549 s box er STATEMENT OF CHANGES IN BENEFICIAL OW SECURITIES 6. SECURITIES 5 Filed pursuant to Section 16(a) of the Securities Exchangers s box Section 17(a) of the Public Utility Holding Company Act of 19 status Section 17(a) of the Public Utility Holding Company Act of 19 ction 30(h) of the Investment Company Act of 19 ddress of Reporting Person⁺ 2. Issuer Name and Ticker or Trading Symbol AMGEN INC [AMGN] AMGEN INC [AMGN] (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) EN CENTER DRIVE 05/01/2017 (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) D 91320-1799 (State) (Zip) Table I - Non-Derivative Securities Act 2. Transaction Date 2A. Deemed 3. 4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or any Code (Month/Day/Year) Instr. 8) (Instr. 3, 4 and 5) (A) or Code (A) or Code</td> <td>7 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 s box er STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF 6. SECURITIES 6. SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 18 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Responses) ddress of Reporting Person 1 2. Issuer Name and Ticker or Trading Symbol AMGEN INC [AMGN] (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director X. Officer (giv below) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or J. Applicable Line) X. Form filed by 1. Fo</td> <td>7 4 OMB A 9 States Securities States Securities And Exchange Commission washington, D.C. 20549 Am 8 Statement of Changes in Beneficial ownership of Esponse. Expires: 6. Securities Exchange Act of 1934, is section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Securities Exchange Act of 1934, is section 30(h) of the Investment Company Act of 1940 tesponses ddress of Reporting Person 1 2. Issuer Name and Ticker or Trading Symbol AMGEN INC [AMGN] Check all applicable Line) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 100 Securities Child/Math/Day/Year) Sinelawa Switch and the line) SW PH, IR (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filin Applicable Line) S. Form filed by More than One Reporting Person (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficial Person 6. Ownership Securities Person 6. Ownership Person (Month/Day/Year) 3. 4. Securities Scienties Acquired, Disposed of, or Beneficial Person 6. Ownership Person 6. Ownership Person (S</td>	7 4 UNITED STATES SECURITIES AND EXCHANGE OF Washington, D.C. 20549 s box er STATEMENT OF CHANGES IN BENEFICIAL OW SECURITIES 6. SECURITIES 5 Filed pursuant to Section 16(a) of the Securities Exchangers s box Section 17(a) of the Public Utility Holding Company Act of 19 status Section 17(a) of the Public Utility Holding Company Act of 19 ction 30(h) of the Investment Company Act of 19 ddress of Reporting Person ⁺ 2. Issuer Name and Ticker or Trading Symbol AMGEN INC [AMGN] AMGEN INC [AMGN] (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) EN CENTER DRIVE 05/01/2017 (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) D 91320-1799 (State) (Zip) Table I - Non-Derivative Securities Act 2. Transaction Date 2A. Deemed 3. 4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or any Code (Month/Day/Year) Instr. 8) (Instr. 3, 4 and 5) (A) or Code (A) or Code	7 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 s box er STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF 6. SECURITIES 6. SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 18 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Responses) ddress of Reporting Person 1 2. Issuer Name and Ticker or Trading Symbol AMGEN INC [AMGN] (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director X. Officer (giv below) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or J. Applicable Line) X. Form filed by 1. Fo	7 4 OMB A 9 States Securities States Securities And Exchange Commission washington, D.C. 20549 Am 8 Statement of Changes in Beneficial ownership of Esponse. Expires: 6. Securities Exchange Act of 1934, is section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Securities Exchange Act of 1934, is section 30(h) of the Investment Company Act of 1940 tesponses ddress of Reporting Person 1 2. Issuer Name and Ticker or Trading Symbol AMGEN INC [AMGN] Check all applicable Line) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 100 Securities Child/Math/Day/Year) Sinelawa Switch and the line) SW PH, IR (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filin Applicable Line) S. Form filed by More than One Reporting Person (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficial Person 6. Ownership Securities Person 6. Ownership Person (Month/Day/Year) 3. 4. Securities Scienties Acquired, Disposed of, or Beneficial Person 6. Ownership Person 6. Ownership Person (S

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

А

05/01/2017

Stock

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

D

0 15,322 (2) (3)

Α

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

(1)

Edgar Filing: AMGEN INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Nqso (Right to Buy)	\$ 162.6	05/01/2017		А	17,429	05/01/2019 <u>(4)</u>	05/01/2027	Common Stock	17,429

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Johnston Lori A ONE AMGEN CENTER DRIVE THOUSAND OAKS, CA 91320-1799			SVP, HR			
Signatures						
/s/ Andrea A. Robinson, Attorney-in-Fa Johnston	act for Ms	5.	05/03/20	17		
**Signature of Reporting Person		Date				

Explanation of Responses:

remaining fractional share amount.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Restricted Stock Units (RSUs) were granted pursuant to the Amgen Inc. 2009 Amended and Restated Equity Incentive Plan and vest in three installments of 33%, 33% and 34% on 5/1/2019, 5/1/2020 and 5/1/2021, respectively.

These share include the following RSUs granted under the Company's equity plans: 4,795 RSUs which vest in one installment of 1,198 on 2/7/2018 and three equal installments of 1,199 on 2/7/2019, 2/7/2020 and 2/7/2021, respectively; and 1,968 RSUs which vest in two

(2) on 2//2018 and three equal installments of 1,199 on 2//2019, 2//2020 and 2//2021, respectively, and 1,908 RSOS which vest in two installments of 649 on each of 5/1/2019 and 5/1/2020 and one installment of 670 on 5/1/2021. Vested RSUs will be paid in shares of the Company's common stock on a one-to-one basis.

These shares include 31 Dividend Equivalents (DEs) granted pursuant to the Amgen Inc. 2009 Amended and Restated Equity Incentive
 Plan and subject to a qualifying dividend reinvestment plan. DEs are credited on the reporting person's unvested RSUs and are paid out in shares of the Company's common stock on a one-to-one basis according to the vesting schedule, along with a cash payment for any

(4) These non-qualified stock options are exercisable in three installments of 33%, 33% and 34% on 5/1/2019, 5/1/2020 and 5/1/2021, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.