

VOELKER JOSEPH R  
Form 4  
September 20, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
VOELKER JOSEPH R

2. Issuer Name and Ticker or Trading Symbol  
STANLEY BLACK & DECKER, INC. [SWK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
1000 STANLEY DRIVE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
09/19/2017

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
SVP, Chief HR Officer

NEW BRITAIN, CT 06053

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  |                                | (A) or (D)<br>Code V Amount (D) Price                             |   |  |   |
| Common Stock <u>(1)</u>         | 09/19/2017                           |  | M                              | 11,250 A \$ 79.7  | 25,810  | D  |   |
| Common Stock <u>(1)</u>         | 09/19/2017                           |  | M                              | 1,875 A \$ 70.61  | 27,685  | D  |   |
| Common Stock <u>(1)</u>         | 09/19/2017                           |  | S                              | 8,557 <u>(2)</u> D \$ 150.1784                                    | 19,128  | D  |   |
| Common Stock <u>(1)</u>         | 09/19/2017                           |  | S                              | 1,875 D \$ 150  | 17,253  | D  |   |
| Common Stock <u>(3)</u>         |                                      |  |                                |   | 5,244.9649  | I  | Through Computershare Under ESPP                      |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Option (Right to Buy) <sup>(1)</sup> | \$ 79.7  | 09/19/2017                           |  | M                              | 11,250  | <sup>(4)</sup> 12/05/2023                                | Common Stock  | 11,250                        |
| Stock Option (Right to Buy) <sup>(1)</sup> | \$ 70.61   | 09/19/2017                           |  | M                              | 1,875   | <sup>(5)</sup> 12/06/2022                                | Common Stock  | 1,875                         |

## Reporting Owners

| Reporting Owner Name / Address                                  | Relationships |           |                       |       |
|---|---------------|-----------|-----------------------|-------|
|   | Director      | 10% Owner | Officer               | Other |
| VOELKER JOSEPH R<br>1000 STANLEY DRIVE<br>NEW BRITAIN, CT 06053 |               |           | SVP, Chief HR Officer |       |

## Signatures

/s/ Kathryn P. Sherer,  
Attorney-in-Fact

09/19/2017

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Pursuant to 10b5-1 Plan

(2) Shares sold to cover exercise price and taxes on option exercise.

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- Aggregate number of shares held in ESPP as of the last day of the calendar month that ended at least 10 days prior to the date of this report, including shares acquired or disposed of on various dates since balance was last reported. Because interest in Plan is denominated in cash, fluctuation in share price since the balance was last reported may have resulted in either an increase or decrease in associated number of shares.
- (3) in cash, fluctuation in share price since the balance was last reported may have resulted in either an increase or decrease in associated number of shares.
  - (4) The option will become exercisable in four equal annual installments beginning on the first anniversary of the date of grant.
  - (5) 1,875 shares are exercisable, 1,875 shares become exercisable on 12/6/2015 and 1,875 shares become exercisable on 12/6/2016.

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