## Edgar Filing: BROCK MACON F JR - Form 4

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| Form 4   | IACON F JR                              |   |  |                  |              |           |                        |   |  |                            |  |
|--|---|---|--|------------------|--------------|-----------|------------------------|---|--|----------------------------|--|
| October 13   | , 2017                                  |   |  |                  |              |           |                        |   |  |                            |  |
| FOR  | M 4 UNITED                              | STATES SEC  |  |                  |              |           | ANGE C                 | OMMISSION   | OMB  | 3235-0287                  |  |
| Check  | this box                                | V   | Vashing  | gto              | n, D.C. 2    | 0549      |                        |   | Number:<br>Expires:  | January 31,                |  |
| if no longer<br>subject to<br>Section 16.<br>Form 4 or |   | MENT OF CH  | OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES                |                  |              |           |                        |   |  | 2005<br>average<br>urs per |  |
| Form 5<br>obligati<br>may co                           | Filed pu                                | rsuant to Sectio<br>(a) of the Public<br>30(h) of the       | Utility  | Ho               | olding Co    | mpar      | ny Act of              | 1935 or Section   | response   | 0.5                        |  |
| (Print or Type   | e Responses)                            |   |  |                  |              |           |                        |   |  |                            |  |
|  |   |   | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol              |                  |              |           |                        | 5. Relationship of Reporting Person(s) to Issuer  |  |                            |  |
| (Last)   | (First)                                 | (Middle) 3. Date of Earliest Transaction                    |  |                  |              |           | (Check all applicable) |   |  |                            |  |
| 500 VOLVO PARKWAY                                      |   |   | (Month/Day/Year)<br>10/12/2017                                     |                  |              |           |                        | _X_ Director10% Owner<br>_X_ Officer (give titleOther (specify<br>below) below)<br>Chairman Emeritus    |  |                            |  |
|  |   |   |  | (Month/Day/Year) |              |           |                        | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person |  |                            |  |
| CHESAPE  | EAKE, VA 23320                          |   |  |                  |              |           |                        | Form filed by M<br>Person   | ore than One F   | Reporting                  |  |
| (City)   | (State)                                 | (Zip) T   | able I - I   | Non              | -Derivativ   | e Secu    | rities Acq             | uired, Disposed of  | , or Beneficia   | ally Owned                 |  |
| 1.Title of<br>Security<br>(Instr. 3)                   | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Date, if Transactionor Disposed of (D)<br>Code (Instr. 3, 4 and 5) |                  |              |           |                        | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported                            | 7. Nature of<br>Indirect Beneficial<br>Ownership<br>(Instr. 4) |                            |  |
|  |   |   | ~ .  |                  |              | (A)<br>or |                        | Transaction(s)<br>(Instr. 3 and 4)  | (I)<br>(Instr. 4)  |                            |  |
| Common<br>Stock  | 10/12/2017                              |   | G  |                  | Amount 2,500 | (D)<br>D  | Price $0 (1)$          | 750,785   | Ι  | Spouse                     |  |
| Common<br>Stock  | 10/12/2017                              |   | G  | V                | 43,850       | D         | \$ 0 <u>(1)</u>        | 1,084,945   | D  |                            |  |
| Common<br>Stock  | 10/13/2017                              |   | М  |                  | 63,000       | А         | \$<br>12.6634          | 1,147,945   | D  |                            |  |
| Common<br>Stock  | 10/13/2017                              |   | S  |                  | 63,000       | D         | \$<br>91.0355<br>(2)   | 5 1,084,945   | D  |                            |  |
| Common<br>Stock  |   |   |  |                  |              |           |                        | 78,677  | Ι  | 2015-3 GRAT<br>(JPB)       |  |

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| Common<br>Stock | 78,677  | Ι | 2015-3 GRAT<br>(MFB)     |
|-----------------|---------|---|--------------------------|
| Common<br>Stock | 189,408 | Ι | 2017-2 GRAT<br>(JPB)     |
| Common<br>Stock | 189,408 | Ι | 2017-2 GRAT<br>(MFB)     |
| Common<br>Stock | 488,790 | Ι | Trusts<br>(Descendants') |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of<br>orDerivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | Derivative Date<br>Securities (Month/Day/Year)<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4, |                       | 7. Title and Amour<br>Underlying Securit<br>(Instr. 3 and 4) |                           |
|---|---|---|---|--|--|--|-----------------------|--|---------------------------|
|   |   |   |   | Code V                                 | (A) (D)  | Date Exercisable   | Expiration Date       | Title  | Amo<br>or<br>Num<br>of Sh |
| Stock<br>Option<br>(right to<br>buy)                | \$ 12.6634  | 10/13/2017                              |   | М                                      | 63,000   | 05/29/2008 <u>(3)</u>  | 05/29/2018 <u>(4)</u> | Common<br>Stock  | 63,(                      |

## **Reporting Owners**

| Reporting Owner Name / Address                                | Relationships |           |            |          |  |  |  |  |
|---|---------------|-----------|------------|----------|--|--|--|--|
|   | Director      | 10% Owner | Officer    | Other    |  |  |  |  |
| BROCK MACON F JR<br>500 VOLVO PARKWAY<br>CHESAPEAKE, VA 23320 | Х             |           | Chairman E | Emeritus |  |  |  |  |
| Signatures  |               |           |            |          |  |  |  |  |
| /s/, Shawnta Totten-Medley, at<br>Brock                       | 10/13/2017    |           |            |          |  |  |  |  |
| <u>**</u> Signature of Reporti                                | Date          |           |            |          |  |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Gift made without consideration.
- (2) Represents the average weighted price for shares sold at a range between \$90.00 and \$91.0355. The filer will provide full information regarding the number of shares sold at each price upon request.
- (3) Immediately exercisable.
- (4) Expires upon termination, with certain grace periods, or ten years after award, whichever is less.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.