Brackenridge Alexander Form 4 February 21, 2019

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Expires: January 31, 2005

**OMB APPROVAL** 

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person <u>\*</u> Brackenridge Alexander

2. Issuer Name **and** Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

**Executive Vice President** 

EQUITY RESIDENTIAL [EQR]

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

02/19/2019

\_\_\_\_ Director \_\_\_\_ 10% Owner \_\_X\_ Officer (give title \_\_\_\_ Other (specify below)

TWO NORTH RIVERSIDE PLAZA, SUITE 400

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_\_ Form filed by More than One Reporting

Person

(Street)

CHICAGO, IL 60606

(City)	(State) (Z	Zip) Table	I - Non-D	erivative S	ecurit	ies Acqui	ired, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	r. 8) (A)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Shares Of Beneficial Interest	02/19/2019		M	2,412	A	\$ 41.44	33,054 (1)	D	
Common Shares Of Beneficial Interest	02/19/2019		M	6,421	A	\$ 51.34	39,475 <u>(1)</u>	D	
Common Shares Of Beneficial Interest	02/19/2019		S	6,421	D	\$ 73.27 (2)	33,054 (1)	D	

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Common Shares Of Beneficial Interest	02/19/2019	M	11,281	A	\$ 46.72	44,335 (1)	D	
Common Shares Of Beneficial Interest	02/19/2019	S	11,281	D	\$ 73.24 (3)	33,054 (1)	D	
Common Shares Of Beneficial Interest						2,815 (4)	I	401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	An or Nu of
Non-qualified Stock Option (Right to Buy)	\$ 41.44	02/19/2019		M	2,412	10/29/2014	10/29/2020	Common Shares Of Beneficial Interest	2
Non-qualified Stock Option (Right to Buy)	\$ 51.34	02/19/2019		M	6,421	<u>(5)</u>	02/03/2022	Common Shares Of Beneficial Interest	6
Non-qualified Stock Option (Right to Buy)	\$ 46.72	02/19/2019		M	11,281	<u>(6)</u>	02/07/2023	Common Shares Of Beneficial Interest	11

### **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner

Officer

Other

Brackenridge Alexander TWO NORTH RIVERSIDE PLAZA, SUITE 400 CHICAGO, IL 60606

**Executive Vice President** 

### **Signatures**

/s/ Samantha Thompson, Attorney-in-fact

02/21/2019

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Direct total includes restricted shares of Equity Residential scheduled to vest in the future.
- The price represents the weighted average price of the shares sold. The shares were sold within a range of \$73.26 to \$73.30. The reporting person will provide, upon request by the SEC staff, the issuer, or any security holder of the issuer, full information regarding the number of shares sold at each separate price.
- The price represents the weighted average price of the shares sold. The shares were sold within a range of \$73.21 to \$73.27. The reporting (3) person will provide, upon request by the SEC staff, the issuer, or any security holder of the issuer, full information regarding the number of shares sold at each separate price.
  - Represents shares acquired through profit sharing contributions and dividend reinvestment activity in the reporting person's account with
- (4) the Equity Residential Advantage 401(k) Retirement Savings Plan, a plan qualified under Section 401(k) of the Internal Revenue Code of 1986, as amended. Such shares represent acquisitions through January 14, 2019.
- (5) Represents options which vested in three equal installments on February 3, 2013, February 3, 2014 and February 3, 2015.
- (6) Represents options which vested in approximately three equal installments on February 7, 2014, February 7, 2015 and February 7, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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