Edgar Filing: ASTEC INDUSTRIES INC - Form 4

| ASTEC INDUST | FRIES INC | | | | | | | | |
|--|-------------------------------------|--|---|--------------------------------------|---|--|--|--------------------------------------|--|
| Form 4 | 7 | | | | | | | | |
| October 17, 2007 | | | | | | | | OMB A | PPROVAL |
| FORM 4 | UNITED 5 | | RITIES A | | | IGE (| COMMISSION | | 3235-0287 |
| Check this boy if no longer subject to | | ENT OF CHA | F CHANGES IN BENEFICIAL OWNERSHIP OF | | | | | Expires: | January 31, 2005 |
| Section 16. Form 4 or | SECURITIES | | | | | | Estimated average burden hours per | | |
| Form 5 obligations may continue. See Instruction 1(b). | Section 17(a) | uant to Section) of the Public U 30(h) of the I | Jtility Hold | ing Com | pany | Act o | f 1935 or Sectio | n response | 0.5 |
| (Print or Type Respo | onses) | | | | | | | | |
| 1. Name and Addres RICHMOND JE | Symbol | 2. Issuer Name and Ticker or Trading Symbol ASTEC INDUSTRIES INC [ASTE] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) | (First) (M | iddle) 3. Date | 3. Date of Earliest Transaction | | | | (Chec | k all applicable | e) |
| 1725 SHEPHER | | (Month/Day/Year) 10/16/2007 | | | | Director 10% Owner X Officer (give title Other (specify below) below) President-Roadtec | | | |
| | 4. If An | 4. If Amendment, Date Original | | | | 6. Individual or Joint/Group Filing(Check | | | |
| CHATTANOOO | GA, TN 37421 | | onth/Day/Year) | • | | | Applicable Line) _X_ Form filed by (Form filed by M Person | One Reporting Pe More than One Re | |
| (City) | (State) (Z | Zip) Tal | ole I - Non-D | erivative S | ecurit | ies Ac | quired, Disposed o | f, or Beneficial | lly Owned |
| | Transaction Date lonth/Day/Year) | | 3. f Transactio Code r) (Instr. 8) | 4. Securit onAcquired Disposed | ies (A) or of (D) 4 and 3 (A) or | r) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | | 7. Nature of Indirect Beneficial |
| Common Stock | | | | | | | 2,000 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

Reporting Owners

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | orDerivative | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|--------------|--|--|--------------------|---|----------------------------------|
| | | | | Code V | (A) (| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Options | <u>(2)</u> | | | | | | (2) | (2) | Common Stock | 7,000 |
| Phantom Stock | <u>(1)</u> | 10/16/2007 | | А | 76.0604 | | (1) | <u>(1)</u> | Common Stock | 76.0604 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|-------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| RICHMOND JEFFREY L 1725 SHEPHERD RD CHATTANOOGA, TN 37421 | | | President-Roadtec | | | | |
| Signatures | | | | | | | |
| Robert Taylor, attorney in fact Richmond | for Jeffre | у | 10/17/2007 | | | | |
| <u>**</u> Signature of Reporting P | Person | | Date | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reported transaction represents Astec's quarterly contribution to the reporting person's SERP which was used to purchase Astec stock. Funds held in the SERP are payable in cash following the reporting person's termination of employment from Astec.
- (2) Option prices and dates have been previously reported for options currently outstanding.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.