### Edgar Filing: WMS INDUSTRIES INC /DE/ - Form 3

WMS INDUSTRIES INC /DE/

Form 3 July 09, 2008

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Statement Pacey Larry J. (Month/Day/Year) 07/01/2008 (Last) (First) (Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol WMS INDUSTRIES INC /DE/ [WMS]

> 4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O WMS INDUSTRIES INC., Â 800 S. NORTHPOINT BLVD.

(Street)

10% Owner Director \_X\_ Officer \_X\_ Other (give title below) (specify below)

Exec. VP Global Products / Chief

Innovation Officer

(Check all applicable)

6. Individual or Joint/Group Filing(Check Applicable Line) X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

WAUKEGAN, ILÂ 60085

(City) (State) (Zip)

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5) Direct (D)

or Indirect (I) (Instr. 5)

Common Stock  $31,782 \frac{(1)}{}$ 

Â D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and (Instr. 4)

**Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Ownership Conversion or Exercise Form of Price of

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Derivative Derivative

Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option	(2)	05/09/2011	Common Stock	45,000	\$ 13.73	D	Â
Stock Option	05/10/2005	05/10/2014	Common Stock	3,750	\$ 18.98	D	Â
Stock Option	(3)	01/07/2015	Common Stock	14,326	\$ 21.67	D	Â
Stock Option	(4)	06/16/2015	Common Stock	14,496	\$ 22.6	D	Â
Stock Option	(5)	08/14/2016	Common Stock	23,542	\$ 16.95	D	Â
Stock Option	(6)	09/19/2014	Common Stock	14,250	\$ 30.55	D	Â

# **Reporting Owners**

Reporting Owner Name / Address		Relationships				
roporting of the runner, reduced	Director	10% Owner	Officer	Other		
Pacey Larry J. C/O WMS INDUSTRIES INC. 800 S. NORTHPOINT BLVD. WAUKEGAN Â II Â 60085	Â	Â	Exec. VP Global Products	Chief Innovation Officer		

## **Signatures**

/s/ Larry J.
Pacey

\*\*Signature of Reporting Person

O7/09/2008

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 29,089 shares of restricted stock, restrictions on which will lapse over time: (i) restrictions on 4,500 shares will lapse on each of 6/16/2009 and 6/16/2010; (ii) restrictions on 1,272 shares will lapse on each of 9/19/2008, 9/19/2009 and 9/19/2010 and on 1,273 shares on 9/19/2011 and (iii) restrictions on 5,000 shares will lapse on each of 9/20/2008, 9/20/2009 and 9/20/2010.
- (2) Stock Option Grant: 11,250 shares became exercisable on each of 5/9/2002, 5/9/2003, 5/9/2004 and 5/9/2005.
- (3) Stock Option Grant: 4,775 shares became exercisable on each of 1/7/2006 and 1/7/2007 and 4,776 shares became exercisable on 1/7/2008.
- (4) Stock Option Grant: 4,832 shares became exercisable on each of 6/16/2006, 6/16/2007 and 6/16/2008.
- (5) Stock Option Grant: 7,847 shares became exercisable on 8/14/2007, 7,847 will be exercisable on 8/14/2008 and 7,848 will be exercisable on 8/14/2009.
- (6) Stock Option Grant: 4,750 shares will be exercisable on each of 9/19/2008, 9/19/2009 and 9/19/2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

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