Edgar Filing: ASTEC INDUSTRIES INC - Form 4

ASTEC IND	USTRIES INC										
Form 4											
March 02, 20											
FORM			CECUD	TTIES AT		TT A 1	NCE	COMMISSION	r	PPROVAL	
	- UNITED	SIAIE		hington,			NGE		OMB Number:	3235-0287	
Check this box if no longer STATEMENT OF CHAN				migion,	D.C. 20.	747				January 31	
				ANGES IN BENEFICIAL OW				NERSHIP OF	Expires:	2005	
subject to Section 10				SECURITIES					Estimated average burden hours per		
Form 4 or									response	•	
Form 5	Filed pur	suant to	Section 16	6(a) of the	e Securiti	es Ez	kchang	ge Act of 1934,	t of 1934,		
obligation may conti				•	•			f 1935 or Sectio	n		
See Instru		30(h)	of the Inv	vestment	Company	y Act	of 19	40			
1(b).											
(Print or Type R	(esponses)										
× 51	1										
1. Name and Address of Reporting Person *2. IssuerDORRIS RICHARD JSymbol				er Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (1	Middle)	3. Date of Earliest Transaction					in un application			
			(Month/D	-				Director		% Owner	
1725 SHEPH	IERD RD		02/28/20)09				XOfficer (give below)	below)	ler (specify	
								Pre	esident-Heatec		
			4. If Amer	f Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
			Filed(Mon	d(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person			
CHATTAN	DOGA, TN 3742	1						Form filed by N			
CHATTAIN	500A, IN 5742	1						Person			
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of	2. Transaction Dat			3.	4. Securi			5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year)	on Date, if					Securities	Form: Direct			
(Instr. 3)		/Dav/Year)	Code (Instr. 8)	Disposed of (D) (Instr. 3, 4 and 5)			•	D) or ndirect (I)	Beneficial Ownership		
		(((- /	Following	(Instr. 4)	(Instr. 4)	
						(A)		Reported			
				~		or		Transaction(s) (Instr. 3 and 4)			
Common				Code V	Amount 1,375	(D)	Price	× ,			
Stock	02/28/2009			А	(2)	А	\$0	3,850	D		
					<u> </u>						
Common Stock								3,221	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. oriNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	te	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 29.594					03/08/2000	03/08/2009	Common Stock	1,200
Phantom Stock	<u>(1)</u>					<u>(1)</u>	<u>(1)</u>	Common Stock	1,203.8984 <u>(1)</u>

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
DORRIS RICHARD J 1725 SHEPHERD RD CHATTANOOGA, TN 37421			President-Heatec					
Signatures								
Robert Taylor, attorney in fact a Dorris	for Richa	rd	03/02/2009					
<u>**</u> Signature of Reporting Pers	son		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Phantom shares are held in a SERP and are payable in cash following the reporting person?s termination of employment from Astec.
- (2) Reported transaction is a grant of Restricted Stock Units. Restricted Stock Units convert into common stock on a one-for-one basis at a later date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.