### Edgar Filing: DORRIS RICHARD J - Form 4

DORRIS RIC Form 4	CHARD J										
January 13, 2									OMB A	PPROVAL	
FORM	S SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						OMB Number:	3235-0287			
Check this if no long subject to Section 16 Form 4 or	er <b>STATE</b> 5.	x STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Expires:January 31, 2005Estimated average burden hours per response0.5	
Form 5 obligation may conti <i>See</i> Instru 1(b).	$\frac{1}{nue.}$ Section 17	(a) of the		ility Hold	ing Com	pany A	Act of	e Act of 1934, f 1935 or Sectio 40	n		
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <u>*</u> DORRIS RICHARD J			2. Issuer Name <b>and</b> Ticker or Trading Symbol ASTEC INDUSTRIES INC [ASTE]					5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle) 1725 SHEPHERD RD			3. Date of Earliest Transaction (Month/Day/Year) 01/12/2010					(Check all applicable) <u></u> Director <u></u> 10% Owner <u>X</u> Officer (give title <u></u> Other (specify below) President-Heatec			
	(Street)	eet) 4. If Amendment, Date Original Filed(Month/Day/Year)					<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul>				
CHATTANO	DOGA, TN 374	21						Form filed by M Person	More than One R	eporting	
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	ecuritie	es Acq	uired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Yea	r) Execution any	emed on Date, if 'Day/Year)	Code (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3, 4	(A) or of (D) 4 and 5) (A) or	)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock				Code V	Amount	(D) I	Price	3,850 <u>(2)</u>	D		
Common Stock								3,221	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	iorDerivative Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities8(Instr. 3 and 4)5(1)(1)	
				Code V	(A) (	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock	<u>(1)</u>	01/12/2010		А	29.1695		<u>(1)</u>	(1)	Common Stock	29.1695

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
DORRIS RICHARD J 1725 SHEPHERD RD CHATTANOOGA, TN 37421 Signatures			President-Heatec					
Robert Taylor, attorney in fact	for Richa	rd	01/13/2010					
<b></b> Signature of Reporting Per	son		Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reported transaction is a quarterly contribution to the reporting person's SERP account that was used to purchase Astec stock. Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec.
- (2) Reported holding is Restricted Stock Units that convert into common stock on a one-for-one basis at a later date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.