## Edgar Filing: BROCK J DON - Form 4

BROCK J DON												
Form 4												
April 11, 2012	_											
FORM 4	<b>1</b> UNITED S	TATES	SECUR	ITIES A	ND EX(	CHAN(	GE (	COMMISSIO		APPROVAL		
				hington,					Number:	3235-0287		
Check this be if no longer subject to Section 16. Form 4 or									Estimated burden ho	Expires:January 31, 2005Estimated averageburden hours per response0.5		
Form 5 obligations may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19401(b).30(h) of the Investment Company Act of 1940												
(Print or Type Resp	onses)											
1. Name and Address of Reporting Person <u>*</u> BROCK J DON		erson <u>*</u>	2. Issuer Name <b>and</b> Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer					
		• • • •	ASTEC INDUSTRIES INC [ASTE]				ICJ	(Check all applicable)				
(Last) (First) (Middle) 4101 JEROME AVE			3. Date of Earliest Transaction (Month/Day/Year) 04/10/2012					_X_ Director _X_ 10% Owner _X_ Officer (give titleOther (specify below) below) President & CEO				
(Street) CHATTANOOGA, TN 37407			4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
								Person				
(City)	(State) (Z	Zip)	Table	e I - Non-D	erivative S	Securitie	es Acc	uired, Disposed	of, or Beneficia	ally Owned		
	Transaction Date Ionth/Day/Year)	Execution any	n Date, if	3. Transactio Code (Instr. 8)	Disposed	(A) or of (D) 4 and 5) (A)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
				Code V	Amount	or (D) P	rice	(Instr. 3 and 4)				
Common Stock								44,475	Ι	Residuary Trust		
Common Stock								45,351 <u>(1)</u>	D			
Common Stock								2,455,242	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock	<u>(2)</u>	04/10/2012		А	912.4582 (2)		(2)	(2)	Common Stock	912.4582

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BROCK J DON 4101 JEROME AVE CHATTANOOGA, TN 37407	Х	Х	President & CEO				
Signatures							
Robert Taylor, attorney in fact Brock	04/11/2012						

<u>\*\*</u>Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects restricted stock units (RSUs) that convert to common stock on a one-for-one basis in the future.

Reported transaction represents the Company's quarterly contribution to the reporting person's SERP account that was used to acquire(2) Astec stock. Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.