Edgar Filing: RICHMOND JEFFREY L - Form 4

RICHMOND J	JEFFREY L									
Form 4 December 14,	2012									
FORM	Л	UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMB APPROVAL OMB 3235-0287	
Check this I if no longer subject to Section 16. Form 4 or Form 5 obligations may continu <i>See</i> Instruct 1(b).	Filed purs Section 17(a	uant to Se) of the Pu	Washington, D.C. 20549 F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Sectio of the Investment Company Act of 1940				Number: January 31, Expires: 2005 Estimated average burden hours per response 0.5			
(Print or Type Res	sponses)									
1. Name and Address of Reporting Person <u>*</u> RICHMOND JEFFREY L			2. Issuer Name and Ticker or Trading Symbol ASTEC INDUSTRIES INC [ASTE]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (M	liddle) 3	3. Date of Earliest Transaction			(Check all applicable)				
1725 SHEPHERD RD (Street)			(Month/Day/Year) 12/12/2012				Director 10% Owner X Officer (give title Other (specify below) below) President-Roadtec			
			4. If Amendment, Date Original Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 			
CHATTANO	OGA, TN 37421	l					Form filed by 1 Person	More than One R	eporting	
(City)	(State) (Zip)	Table	I - Non-De	erivative S	ecurities Ac	quired, Disposed o	of, or Beneficia	lly Owned	
	2. Transaction Date (Month/Day/Year)	Execution any	Date, if	3. Transactio Code (Instr. 8)	Disposed (Instr. 3, 4	(A) or of (D) 4 and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock				Code V	Amount	(D) Price	11,662 (1)	D		
Common Stock							71	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 D S (1
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	<u>(2)</u>	12/12/2012		Р	59.5192	(2)	(2)	Common Stock	59.5192	()

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
RICHMOND JEFFREY L 1725 SHEPHERD RD CHATTANOOGA, TN 37421			President-Roadtec					
Signatures								
Robert Taylor, attorney in fact for Jeffrey L. Richmond			12/12/2012					
**Signature of Reporting		Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects restricted stock units (RSUs) that convert to common stock on a one-for-one basis in the future.

Reported transaction represents the automatic reinvestment of a dividend received on phantom stock held in the reporting person's SERP

(2) account. Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.