

ASTRO MED INC /NEW/

Form 4

June 05, 2014

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Mancyak Erik J.

(Last) (First) (Middle)

54 CLEARVIEW DRIVE

(Street)

WEST KINGSTON, RI 02892

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
ASTRO MED INC /NEW/ [ALOT]

3. Date of Earliest Transaction
(Month/Day/Year)
06/03/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer (give title below) ____ Other (specify
below)

Controller

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	06/03/2014		M	625 A	\$ 7.9316 3,043	D	
Common Stock	06/03/2014		M	1,200 A	\$ 11.895 4,243	D	
Common Stock	06/03/2014		M	600 A	\$ 8.95 4,843	D	
Common Stock	06/03/2014		M	3,000 A	\$ 6.29 7,843	D	
Common Stock	06/03/2014		M	3,200 A	\$ 6.22 11,043	D	

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Common Stock	06/03/2014	M	3,200	A	\$ 7.36	14,243	D
Common Stock	06/03/2014	M	1,500	A	\$ 8.35	15,743	D
Common Stock	06/03/2014	S	8,825	D	\$ 14	6,918	D

Common Stock						460	I	Held in Employee Stock Ownership Plan
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Stock Option (Right to Purchase)	\$ 7.9316	06/03/2014		M	625	03/20/2007 03/20/2016	Common Stock 625
Stock Option (Right to Purchase)	\$ 11.895	06/03/2014		M	1,200	03/26/2008 03/26/2017	Common Stock 1,200
Stock Option (Right to Purchase)	\$ 8.95	06/03/2014		M	600	04/01/2009 04/01/2018	Common Stock 600
Stock Option	\$ 6.29	06/03/2014		M	3,000	01/26/2010 01/26/2019	Common Stock 3,000

(Right to
Purchase)

Stock Option (Right to Purchase)	\$ 6.22	06/03/2014	M	3,200	03/18/2010	03/18/2019	Common Stock	3,200
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Stock Option (Right to Purchase)	\$ 7.36	06/03/2014	M	3,200	03/15/2011	03/15/2020	Common Stock	3,200
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Stock Option (Right to Purchase)	\$ 8.35	06/03/2014	M	1,500	03/29/2013	03/29/2022	Common Stock	1,500
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Mancyak Erik J. 54 CLEARVIEW DRIVE WEST KINGSTON, RI 02892			Controller	

Signatures

Margaret D. Farrell (Attorney-in-fact for Erik J. Mancyak)	06/05/2014
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__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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