#### ASTRO MED INC /NEW/

Form 4 July 29, 2014

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB APPROVAL** OMB 3235-0287

Check this box if no longer subject to

Washington, D.C. 20549

Number: January 31, Expires: 2005

Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

ASTRO MED INC /NEW/ [ALOT]

5. Relationship of Reporting Person(s) to

Estate of Albert W. Ondis

Symbol

Issuer

(Last)

(City)

(First) (Middle)

(Zip)

3. Date of Earliest Transaction

(Check all applicable)

600 EAST GREENWICH AVENUE

(Month/Day/Year)

\_ 10% Owner Director Other (specify Officer (give title

6. Individual or Joint/Group Filing(Check

07/28/2014

below)

4. If Amendment, Date Original

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

(Street)

Filed(Month/Day/Year)

#### WEST WARWICK, RI 02893

(State)

| Table I - Non-Derivative Sec | urities Acquired, Dispos | ed of, or Beneficially Owned |
|------------------------------|--------------------------|------------------------------|

| ` *′                                 | · · ·                                | Tabl  | ie 1 - Noii- | Derivauve | secu   | riues Acc                                     | luirea, Disposea                                      | oi, or beliefic | any Owned                          |
|--------------------------------------|--------------------------------------|---|--------------|-----------|--|---|---|-----------------|------------------------------------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) |              |           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |                 |                                    |
|                                      |                                      |   |              |           | (A)<br>or  |   | Transaction(s) (Instr. 3 and 4)                       | (Instr. 4)      |                                    |
|                                      |                                      |   | Code V       | Amount    | (D)  | Price   | (111str. 3 and 4)                                     |                 |                                    |
| Common<br>Stock                      | 07/28/2014                           |   | S <u>(1)</u> | 100       | D  | \$<br>13.62                                   | 1,436,942   | D               |                                    |
| Common<br>Stock                      | 07/28/2014                           |   | S <u>(1)</u> | 100       | D  | \$<br>13.63                                   | 1,436,842   | D               |                                    |
| Common<br>Stock                      | 07/28/2014                           |   | S <u>(1)</u> | 100       | D  | \$<br>13.64                                   | 1,436,742   | D               |                                    |
| Common<br>Stock                      | 07/28/2014                           |   | S <u>(1)</u> | 1         | D  | \$<br>13.85                                   | 1,436,741   | D               |                                    |
| Common<br>Stock                      |                                      |   |              |           |  |   | 3,858   | I               | Allocated to the account of Albert |

W. Ondis under Issuer's Employee Stock Ownership Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title<br>Deriva<br>Securit<br>(Instr. | tive<br>ty | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 4.<br>Transac<br>Code<br>(Instr. 8 | 5. tionNumber of ) Derivativ Securitie: Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | S                   | ate                | 7. Titl<br>Amou<br>Under<br>Securi<br>(Instr. | nt of<br>lying                         | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|--|------------|---|--------------------------------------|------------------------------------|--|---------------------|--------------------|---|--|---|--|
|  |            |   |                                      | Code '                             | V (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |

### **Reporting Owners**

| Reporting Owner Name / Address   | Keiauonsnips |           |         |       |  |  |  |
|--|--------------|-----------|---------|-------|--|--|--|
|  | Director     | 10% Owner | Officer | Other |  |  |  |
| Estate of Albert W. Ondis<br>600 EAST GREENWICH AVENUE<br>WEST WARWICK, RI 02893 |              | X         |         |       |  |  |  |

### **Signatures**

Margaret D. Farrell (Attorney-in-fact for the Estate of Albert W. Ondis)

07/29/2014

\*\*Signature of Reporting Person Date

Reporting Owners 2

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale was made pursuant to the reporting person's Rule 10b5-1 Trading Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.