ASTRO MED INC /NEW/

Form 4 July 29, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per response...

subject to Section 16. Form 4 or Form 5 obligations

if no longer

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Ondis Alexis

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

ASTRO MED INC /NEW/ [ALOT]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

_X__ 10% Owner

C/O ASTRO-MED, INC., 600 EAST 07/28/2014

Filed(Month/Day/Year)

Officer (give title below)

_ Other (specify

GREENWICH AVENUE

(Street)

4. If Amendment, Date Original

Applicable Line)

Director

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

WEST WARWICK, RI 02893

(City)	(State)	(Zip) Tab	ole I - Non-	Derivativ	e Secu	ırities Ac	quired, Disposed	l of, or Benefi	cially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	(Instr. 3,	ispose 4 and (A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	07/28/2014		Code V S(1)	Amount	(D)	Price \$ 13.62	1,436,942	I	Held by the Estate of Albert W. Onids of which the reporting person is a co-executor.
Common Stock	07/28/2014		S <u>(1)</u>	100	D	\$ 13.63	1,436,842	I	Held by the Estate of Albert W. Onids of

Edgar Filing: ASTRO MED INC /NEW/ - Form 4

								which the reporting person is a co-executor.	
Common Stock	07/28/2014	S <u>(1)</u>	100	D	\$ 13.64	1,436,742	I	Held by the Estate of Albert W. Onids of which the reporting person is a co-executor.	
Common Stock	07/28/2014	S <u>(1)</u>	1	D	\$ 13.85	1,436,741	I	Held by the Estate of Albert W. Onids of which the reporting person is a co-executor.	
Common Stock						3,858	I	Held under the Issuer's Employee Stock Ownership Plan for the account of Albert W. Ondis.	
Common Stock						5,614	I	Held in trust for a child of the reporting person for which the reporting person is a trustee.	
Common Stock						122,096	D		
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474									
			info requ disp	sons varmati uired olays ober.	SEC 1474 (9-02)				

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

Edgar Filing: ASTRO MED INC /NEW/ - Form 4

1. Titl Deriv Secur (Instr.	ative ity	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. tiorNumber of) Derivativ Securities Acquired (A) or Disposed of (D)	s I	ate	Amou Under Secur	le and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
					Code \	(Instr. 3, 4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
• 0	Director	10% Owner	Officer	Other			
Ondis Alexis C/O ASTRO-MED, INC. 600 EAST GREENWICH AVENUE WEST WARWICK, RI 02893		X					

Signatures

Margaret D. Farrell (Attorney-in-fact for Alexis Ondis) 07/29/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale was made pursuant to the Rule 10b5-1 Trading Plan of the Estate of Albert W. Ondis of which reporting person is a co-executor. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3