

Dermira, Inc.
Form 3
October 02, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *			2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
WIGGANS THOMAS G			(Month/Day/Year)	Dermira, Inc. [DERM]	
(Last)	(First)	(Middle)	10/02/2014	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
C/O DERMIRA, INC., 2055 WOODSIDE ROAD				(Check all applicable)	
(Street)				<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
REDWOOD CITY, CA 94061				<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person
(City)	(State)	(Zip)		(give title below) (specify below)	<input type="checkbox"/> Form filed by More than One Reporting Person
				CEO & Chairman of the Board	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	155,172	I	By the Wiggans Living Trust dated 5/14/02 ⁽¹⁾
Common Stock	8,620	I	By the Amanda Wiggans Irrevocable Gifting Trust dated 2/24/11 ⁽²⁾
Common Stock	8,620	I	By the Elizabeth Wiggans Irrevocable Gifting Trust dated 2/24/11 ⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: Dermira, Inc. - Form 3

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Series A Preferred Stock	Â (3)	Â (3)	Common Stock	82,043	\$ 0 (3)	I	Held by the Wiggans Living Trust dated 5/14/02 (1)
Series C Preferred Stock	Â (3)	Â (3)	Common Stock	25,965	\$ 0 (3)	I	Held by the Wiggans Living Trust dated 5/14/02 (1)
Employee Stock Option (right to buy)	Â (4)	10/03/2021	Common Stock	253,642	\$ 0.986	D	Â
Employee Stock Option (right to buy)	Â (5)	01/03/2023	Common Stock	184,349	\$ 1.218	D	Â
Employee Stock Option (right to buy)	Â (5)	07/10/2023	Common Stock	98,430	\$ 1.74	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WIGGANS THOMAS G C/O DERMIRA, INC. 2055 WOODSIDE ROAD REDWOOD CITY, CA 94061	Â X	Â	Â CEO & Chairman of the Board	Â

Signatures

/s/ Christine Ring, by power of attorney
10/02/2014

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The Reporting Person is a Co-Trustee.

(2) The Reporting Person has no voting or dispositive power over the shares held by the trust.

(3) Each share of Preferred Stock will be automatically converted into one (1) share of Common Stock, for no additional consideration, immediately prior to the consummation of the Issuer's initial public offering, and has no expiration date.

Edgar Filing: Dermira, Inc. - Form 3

- (4) The stock option vests and becomes exercisable in 48 equal monthly installments beginning one month after the vesting commencement date, until such time as the option is 100% vested, subject to the continuing employment of the Reporting Person on each vesting date.

- The stock option vests and becomes exercisable as to 25% of the shares subject to the option on the one-year anniversary of the vesting commencement date, and thereafter vests as to 1/48th of the shares in equal monthly installments, subject to the continuing employment of the Reporting Person on each vesting date.
- (5)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.