ASTRO MED INC /NEW/

Form 4

December 08, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Common

Stock

(Print or Type Responses)

() F	,								
1. Name and A Estate of Al	Symbol ASTRO	2. Issuer Name and Ticker or Trading Symbol ASTRO MED INC /NEW/ [ALOT]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (, -, -, -, -, -, -, -, -, -, -, -, -, -,	of Earliest Tr	ansaction			Director	V 1	0% Owner
600 EAST (AVENUE,	GREENWICH	12/04/2	Day/Year) 2014				Officer (give below)		ther (specify
	(Street)	4. If Am	endment, Da	te Original			6. Individual or J	oint/Group Fi	ling(Check
WEST WA	RWICK, RI 0289	· ·	onth/Day/Year)			Applicable Line) Form filed by Carlo Service Applicable Line) _X_ Form filed by Person	One Reporting I More than One	
(City)	(State)	(Zip) Tak	ole I - Non-D	erivative S	ecuriti	es Acqı	uired, Disposed o	of, or Benefici	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction	4. Securitie (A) or Disp (Instr. 3, 4 a	osed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)

Common $D^{(2)}$ 12/04/2014 $D^{(1)}$ 500,000 882,305 12.5 Stock

> the issuer's Employee Stock Ownership Plan for the account of Albert W.

> > Ondis (3)

Held under

I

I

3,858

317

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Common Stock			Held under the issuer's Employee Stock Ownership Plan for the account of Albert W. Ondis, III
Common Stock	1,658	I	Held in trust for a child of Albert W. Ondis, III
Common Stock	122,097	D (6)	
Common Stock	5,614	I	Held in trust for a child of Alexis Ondis (7)
Common Stock	122,096	D (8)	
Common Stock	650	I	Held under the issuer's Employee Stock Ownership Plan for the account of April Ondis
Common Stock	124,475	D (10)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	of Derivative Securitie Acquired (A) or Disposed of (D) (Instr. 3,	onNumber Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		7. Titl Amou Under Securi (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	4, and 5) (A) (D)		Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
Estate of Albert W. Ondis 600 EAST GREENWICH AVENUE WEST WARWICK, RI 02893		X						
Ondis Albert W. III C/O ASTRO-MED, INC. 600 E GREENWICH AVENUE W WARWICK, RI 02893		X						
Ondis Alexis C/O ASTRO-MED, INC. 600 EAST GREENWICH AVENUE WEST WARWICK, RI 02893		X						
Ondis April C/O ASTRO-MED, INC. 600 E GREENWICH AVENUE W WARWICK, RI 02893		X						

Signatures

Margaret D. Farrell (Attorney-in-fact for the Estate of Albert W. Ondis)	12/08/2014
**Signature of Reporting Person	Date
Margaret D. Farrell (Attorney-in-fact for Albert W. Ondis, III)	12/08/2014
**Signature of Reporting Person	Date
Margaret D. Farrell (Attorney-in-fact for Alexis Ondis)	12/08/2014
**Signature of Reporting Person	Date
Margaret D. Farrell (Attorney-in-fact for April Ondis)	12/08/2014
**Signature of Reporting Person	Date

Reporting Owners 3

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale was made pursuant to that certain Stock Repurchase Agreement dated as of December 4, 2014 by and among the issuer and Albert W. Ondis III, Alexis Ondis and April Ondis, each in his or her capacity as a Co-Executor of the Estate of Albert W. Ondis.
- (2) These shares are owned directly by the Estate of Albert W. Ondis and indirectly by Albert W. Ondis, III, Alexis Ondis and April Ondis, as co-executors of the Estate of Albert W. Ondis.
- (3) These shares are owned indirectly by the Estate of Albert W. Ondis and each of Albert W. Ondis, III, Alexis Ondis and April Ondis, as co-executors of the Estate of Albert W. Ondis.
- (4) These shares are owned indirectly by Albert W. Ondis, III.
- (5) These shares are owned indirectly by Albert W. Ondis, III, as trustee of a trust for a child of Albert W. Ondis, III.
- (6) These shares are owned directly by Albert W. Ondis, III.
- (7) These shares are owned indirectly by Alexis Ondis, as trustee of a trust for a child of Alexis Ondis.
- (8) These shares are owned directly by Alexis Ondis.
- (9) These shares are owned indirectly by April Ondis.
- (10) These shares are owned directly by April Ondis.

Remarks:

Albert W. Ondis, III, Alexis Ondis and April Ondis are each co-executors of the Estate of Albert W. Ondis and ten percent ow Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.