#### ASTRO MED INC /NEW/

Form 4 May 22, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 3235-0287

Number:

Expires:

5 Relationship of Reporting Person(s) to

January 31, 2005

Estimated average burden hours per

response... 0.5

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2 Jaguar Nama and Tiakar or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

OCONNELL JOSEPH P		2. Issuer Name <b>and</b> Ticker or Trading  Symbol					Issuer					
			ASTRO	O MED IN	IC /NEW	// [AI	LOT]	(Cł	neck all applical	ole)		
(Last)	(First)	(Middle)	3. Date of	of Earliest Ti	ransaction			`	**	,		
			(Month/I	Day/Year)				Director		0% Owner		
	O-MED, INC		05/20/2	2015				_X_ Officer (g	give title O below)	ther (specify		
GREENWI	CH AVENUI	Ξ						/	ef Financial Of	icer		
	(Street)		4. If Am	endment, Da	ate Original	l		6. Individual or	· Joint/Group Fi	ling(Check		
			Filed(Mo	Filed(Month/Day/Year)					Applicable Line)			
									by One Reporting			
W WARW	ICK, RI 0289	3						Person	y More than One	Reporting		
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative :	Securi	ities Ac	equired, Disposed	of, or Benefic	ially Owned		
1.Title of Security (Instr. 3)	any		emed on Date, if /Day/Year)	3. Transaction Code (Instr. 8)	4. Securit on(A) or Dis (D) (Instr. 3,	sposed	of	Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)				
Common Stock	05/20/2015			A	12,379	A	\$ 0 (1)	118,733	D			
Common Stock								2,068	I	Held in Employee Stock Ownership Plan		
Reminder: Day	oort on a separate	line for each	class of sac	urities henef	icially over	ed dir	ectly or	indirectly				
Kellilluer, Kej	oon on a separati	Time for each	C1488 01 8CC	urities belief			•	ond to the coll	ection of	SEC 1474		

information contained in this form are not required to respond unless the form

(9-02)

#### Edgar Filing: ASTRO MED INC /NEW/ - Form 4

# displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2.	3. Transaction Date (Month/Day/Year)		4.	5. onNumber	6. Date Exerc Expiration D		7. Titl		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Tear)	any (Month/Day/Year)	Code (Instr. 8)	of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Under Secur	rlying	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

OCONNELL JOSEPH P C/O ASTRO-MED, INC. 600 E GREENWICH AVENUE W WARWICK, RI 02893

Chief Financial Officer

## **Signatures**

Margaret D. Farrell (Attorney-in-fact for Joseph P. O'Connell)

05/22/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock unit award made to the reporting person which vests in four equal annual installments on the anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2