

SIGA TECHNOLOGIES INC

Form 4

June 08, 2015

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Luckshire Daniel J

2. Issuer Name **and** Ticker or Trading  
Symbol  
SIGA TECHNOLOGIES INC  
[SIGAQ]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)  
06/04/2015

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title below) \_\_\_\_ Other (specify below)  
Executive VP & CFO

C/O SIGA TECHNOLOGIES,  
INC., 660 MADISON AVENUE,  
SUITE 1700

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

NEW YORK, NY 10065

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3)                 | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|---|--------------------------|--|--|--|---|
| Common<br>Stock, par<br>value<br>\$.0001 per<br>share | 06/04/2015                              |   | M                        | 2,917 A \$ 0   | 36,436   | D  |   |
| Common<br>Stock, par<br>value<br>\$.0001 per<br>share | 06/04/2015                              |   | M                        | 8,333 A \$ 0   | 44,769   | D  |   |

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Common  
Stock, par  
value 06/04/2015 M 8,333 A \$ 0 53,102 D  
\$.0001 per  
share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                    | 8. Pr<br>Deriv<br>Secur<br>(Instr                     |  |    |
|---|---|---|---|--------------------------------------|---|--|-----|---|--------------------|---|--|----|
|   |   |   |   | Code                                 | V   | (A)  | (D) | Date<br>Exercisable   | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |    |
| Restricted<br>Stock<br>Units                        | (1)   | 06/04/2015                              |   | M                                    |   | 2,917  |     | (1)   | (1)                | Common<br>Stock, par<br>value<br>\$.0001<br>per share | 2,917                                  | \$ |
| Restricted<br>Stock<br>Units                        | (2)   | 06/04/2015                              |   | M                                    |   | 8,333  |     | (2)   | (2)                | Common<br>Stock, par<br>value<br>\$.0001<br>per share | 8,333                                  | \$ |
| Restricted<br>Stock<br>Units                        | (3)   | 06/04/2015                              |   | M                                    |   | 8,333  |     | (3)   | (3)                | Common<br>Stock, par<br>value<br>\$.0001<br>per share | 8,333                                  | \$ |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Luckshire Daniel J  
C/O SIGA TECHNOLOGIES, INC.  
660 MADISON AVENUE, SUITE 1700  
NEW YORK, NY 10065

Executive  
VP & CFO

## Signatures

/s/ Daniel J.  
Luckshire

06/08/2015

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents vesting and conversion of certain RSUs granted on February 28, 2012. Each RSU converts into one share of Common Stock of the Issuer on a one for one basis.
- (2) Represents vesting and conversion of certain RSUs granted on January 3, 2013. Each RSU converts into one share of Common Stock of the Issuer on a one for one basis.
- (3) Represents vesting and conversion of certain RSUs granted on January 3, 2014. Each RSU converts into one share of Common Stock of the Issuer on a one for one basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.