Edgar Filing: ASTEC INDUSTRIES INC - Form 4

ASTEC IND Form 4	OUSTRIES INC									
Form 4 February 29,	2016									
•	П Л							OMB AF	PPROVAL	
FORM	4 UNITED S		S SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						3235-0287	
Check th if no long subject to Section 1 Form 4 o Form 5 obligatio may cont	ger 5 STATEM 16. 5 Filed pur ^{5 Section 17(1)}	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section							Number: January 31, Expires: January 31, 2005 Estimated average burden hours per response 0.5	
See Instruction 1(b).		30(h) of the	Investment	t Compan	y Ac	t of 194	-0			
(Print or Type I	Responses)									
			suer Name and ol EC INDUS			-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (M	Aiddle) 3. Dat	e of Earliest T	ransaction		(Check an applicable)				
8101 JEROME AVE			(Month/Day/Year) 02/28/2016				Director 10% Owner X Officer (give title Other (specify below) below) Group President-Infrastructure			
	(Street)	. If Amendment, Date Original iled(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
CHATTAN	OOGA, TN 3740	7					Form filed by M Person			
(City)	(State)	(Zip) 1	able I - Non-l	Derivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
(Instr. 3) any		Execution Date, any	1			Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial		
~			Code V	Amount		Price \$	(Instr. 3 and 4)			
Common Stock	02/28/2016		F	439 <u>(2)</u>	D	42.19 (2)	0 (2)	D		
Common Stock							1,987 <u>(3)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: ASTEC INDUSTRIES INC - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transactio	5. onNumber	6. Date Exer Expiration D		7. Title and A Underlying S		8. Price Derivat
Security	or Exercise		any	Code	of	(Month/Day		(Instr. 3 and		Securit
(Instr. 3)	Price of Derivative		(Month/Day/Year)	(Instr. 8)	Derivative Securities					(Instr. :
	Security				Acquired					
					(A) or					
					Disposed					
					of (D) (Instr. 3,					
					(insu: 5, 4, and 5)					
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	<u>(1)</u>					(1)	(1)	Common Stock	253.3194	

Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director 10% Owner		Officer	Other			
Claude Steven L 8101 JEROME AVE CHATTANOOGA, TN 37407			Group President-Infrastructure				
Signatures							
Robert C Taylor, attorney in fa Claude	ct for Stev	ven L.	02/29/2016				
**Signature of Reporting	Person		Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec.
- (2) Holdings are restricted stock units (RSUs) that convert to common stock on a one-for-one basis at a later date. Reported transaction reflects 439 shares of common stock withheld tby the issuer to satisfy tax withholding obligations upon the vesting of 1,753 RSUs.
- (3) Total amount includes 1,314 shares issued upon vesting of RSUs on February 28, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.