### SIGA TECHNOLOGIES INC

Form 4 May 17, 2016

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

Expires: 2005 Estimated average

January 31,

burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Marshall Joseph W III

2. Issuer Name and Ticker or Trading

Symbol

SIGA TECHNOLOGIES INC

[SIGA]

3. Date of Earliest Transaction

(Month/Day/Year) 05/14/2016

X\_ Director Officer (give title below)

Issuer

10% Owner Other (specify

C/O SIGA TECHNOLOGIES,, INC., 660 MADISON AVENUE, SUITE

(First)

(Middle)

(Zip)

1700

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10065

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year)

(State)

3. Execution Date, if Code (D) (Month/Day/Year)

4. Securities Acquired 5. Amount of Transaction(A) or Disposed of (Instr. 8) (Instr. 3, 4 and 5)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Reported Transaction(s)

(Instr. 3 and 4) Price Amount (D)

Common Stock, Par

Share

Value \$.0001 Per

05/14/2016

M

Code V

15,000 A

(A)

\$0 75,000 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of ctionDerivative Securities Acquired B) (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Restricted Stock Units	(1)	05/17/2016		A	15,000		(2)	(2)	Common Stock, par value \$.0001 per share	15,000
Restricted Stock Units	Ш	05/14/2016		M		15,000	(3)	<u>(3)</u>	Common Stock, par value \$.0001 per share	15,000

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Marshall Joseph W III C/O SIGA TECHNOLOGIES, INC., 660 MADISON AVENUE, SUITE 1700 NEW YORK, NY 10065



## **Signatures**

/s/ Joseph W.
Marshall, III

05/15/2016

\*\*Signature of Reporting Date
Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit ("RSU") converts into one share of common stock of SIGA Technologies, Inc. on a one for one basis.
- (2) The RSUs vest on the first anniversary of the grant date.
- (3) The RSUs were granted on May 14, 2015, and vested on the first anniversary of such date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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