

Clarke Tod A
Form 3
August 16, 2017

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Clarke Tod A

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

08/16/2017

3. Issuer Name **and** Ticker or Trading Symbol

ABRAXAS PETROLEUM CORP [AXAS]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer ____ Other
(give title below) (specify below)
Vice President - Land

6. Individual or Joint/Group
Filing(Check Applicable Line)
__X__ Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

18803 MEISNER DRIVE

(Street)

SAN ANTONIO,Â TXÂ 78258

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Abraxas Petroleum Corp Common Stock

94,079

D

Â

Abraxas Petroleum Corp Common Stock

23,680

I

401-K

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of
Derivative

5. Ownership
Form of
Derivative
Security:

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option	03/11/2018	03/11/2024	Abraxas Petroleum Corp Common Stock	18,000	\$ 3.15	D	Â
Employee Stock Option	05/15/2020	05/15/2026	Abraxas Petroleum Corp Common Stock	64,000	\$ 0.97	D	Â
Employee Stock Option	03/08/2016	03/08/2022	Abraxas Petroleum Corp Common Stock	5,000	\$ 3.74	D	Â
Employee Stock Option	03/15/2015	03/11/2021	Abraxas Petroleum Corp Common Stock	10,000	\$ 4.72	D	Â
Employee Stock Option	03/16/2014	03/16/2020	Abraxas Petroleum Corp Common Stock	13,300	\$ 2.09	D	Â
Employee Stock Option	03/17/2013	03/17/2019	Abraxas Petroleum Corp Common Stock	5,000	\$ 0.99	D	Â
Employee Stock Option	05/14/2017	05/14/2027	Abraxas Petroleum Corp Common Stock	17,300	\$ 2.39	D	Â
Employee Stock Option	08/28/2011	08/28/2017	Abraxas Petroleum Corp Common Stock	7,810	\$ 3.6	D	Â
Employee Stock Option	08/09/2015	08/09/2021	Abraxas Petroleum Corp	25,000	\$ 3.55	D	Â

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Employee Stock Option	10/05/2013	10/05/2019	Common Stock	20,775	\$ 1.75	D	Â
			Abraxas Petroleum Corp Common Stock				

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Clarke Tod A 18803 MEISNER DRIVE SAN ANTONIO, TX 78258	Â	Â	Â Vice President - Land	Â

Signatures

Tod A. Clarke 08/16/2017

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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