

SCHOTTENSTEIN ROBERT H

Form 4

October 31, 2017

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
SCHOTTENSTEIN ROBERT H

(Last) (First) (Middle)

3 EASTON OVAL

(Street)

COLUMBUS, OH 43219

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

M I HOMES INC [MHO]

3. Date of Earliest Transaction
(Month/Day/Year)

10/30/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chairman, CEO and President

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Shares	10/30/2017		M	66,000 A	\$ 23.66 128,364	D ⁽¹⁾	
Common Shares	10/30/2017		M	49,500 A	\$ 23.79 177,864	D ⁽¹⁾	
Common Shares	10/30/2017		M	33,000 A	\$ 21.28 210,864	D ⁽¹⁾	
Common Shares	10/30/2017		M	16,500 A	\$ 16.85 227,364	D ⁽¹⁾	
Common Shares	10/30/2017		S	100 D	\$ 31.75 227,264	D ⁽¹⁾	

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Common Shares	10/30/2017	S	300	D	\$ 31.76	226,964	D ⁽¹⁾
Common Shares	10/30/2017	S	700	D	\$ 31.77	226,264	D ⁽¹⁾
Common Shares	10/30/2017	S	900	D	\$ 31.79	225,364	D ⁽¹⁾
Common Shares	10/30/2017	S	1,100	D	\$ 31.8	224,264	D ⁽¹⁾
Common Shares	10/30/2017	S	200	D	\$ 31.81	224,064	D ⁽¹⁾
Common Shares	10/30/2017	S	400	D	\$ 31.82	223,664	D ⁽¹⁾
Common Shares	10/30/2017	S	200	D	\$ 31.84	223,464	D ⁽¹⁾
Common Shares	10/30/2017	S	500	D	\$ 31.845	222,964	D ⁽¹⁾
Common Shares	10/30/2017	S	700	D	\$ 31.85	222,264	D ⁽¹⁾
Common Shares	10/30/2017	S	500	D	\$ 31.855	221,764	D ⁽¹⁾
Common Shares	10/30/2017	S	300	D	\$ 31.86	221,464	D ⁽¹⁾
Common Shares	10/30/2017	S	200	D	\$ 31.865	221,264	D ⁽¹⁾
Common Shares	10/30/2017	S	137	D	\$ 31.87	221,127	D ⁽¹⁾
Common Shares	10/30/2017	S	100	D	\$ 31.875	221,027	D ⁽¹⁾
Common Shares	10/30/2017	S	200	D	\$ 31.88	220,827	D ⁽¹⁾
Common Shares	10/30/2017	S	600	D	\$ 31.9	220,227	D ⁽¹⁾
Common Shares	10/30/2017	S	263	D	\$ 31.91	219,964	D ⁽¹⁾
Common Shares	10/30/2017	S	100	D	\$ 31.92	219,864	D ⁽¹⁾
Common Shares	10/30/2017	S	1,000	D	\$ 31.93	218,864	D ⁽¹⁾
Common Shares	10/30/2017	S	1,000	D	\$ 31.94	217,864	D ⁽¹⁾
	10/30/2017	S	600	D	\$ 31.95	217,264	D ⁽¹⁾

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Common Shares							
Common Shares	10/30/2017	S	2,600	D	\$ 31.96	214,664	D ⁽¹⁾
Common Shares	10/30/2017	S	1,800	D	\$ 31.965	212,864	D ⁽¹⁾
Common Shares	10/30/2017	S	700	D	\$ 31.97	212,164	D ⁽¹⁾
Common Shares	10/30/2017	S	600	D	\$ 31.98	211,564	D ⁽¹⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Purchase Common Shares	\$ 23.66	10/30/2017		M		66,000		<u>(2)</u>	02/12/2023	Common Shares	66,000
Option to Purchase Common Shares	\$ 23.79	10/30/2017		M		49,500		<u>(3)</u>	02/18/2024	Common Shares	49,500
Option to Purchase Common Shares	\$ 21.28	10/30/2017		M		33,000		<u>(4)</u>	02/17/2025	Common Shares	33,000
Option to Purchase Common Shares	\$ 16.85	10/30/2017		M		16,500		<u>(5)</u>	02/16/2026	Common Shares	16,500

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SCHOTTENSTEIN ROBERT H 3 EASTON OVAL COLUMBUS, OH 43219	X		Chairman, CEO and President	

Signatures

/s/Phillip G. Creek, Attorney-in-fact for Robert H.
Schottenstein

10/31/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The reporting person also indirectly owns 485,400 common shares as sole manager of IES Family Holdings No. 2, LLC, an Ohio limited liability company. The spouse of the reporting person beneficially owns 10,000 common shares of which the reporting person disclaims beneficial ownership, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or any other purpose.
- (2) Of the options exercised, 16,500 vested on December 31, 2013, 16,500 vested on December 31, 2014, 16,500 vested on December 31, 2015 and 16,500 vested on December 31, 2016.
- (3) Of the options exercised, 16,500 vested on December 31, 2014, 16,500 vested on December 31, 2015 and 16,500 vested on December 31, 2016.
- (4) Of the options exercised, 16,500 vested on December 31, 2015 and 16,500 vested on December 31, 2016.
- (5) The 16,500 options exercised vested on December 31, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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