

LANDY EUGENE W
Form 4
December 07, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
LANDY EUGENE W

2. Issuer Name **and** Ticker or Trading
Symbol
MONMOUTH REAL ESTATE
INVESTMENT CORP [MNR]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
3499 RT. 9 NORTH, SUITE 3D
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
12/05/2017

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chairman of the Board

FREEHOLD, NJ 07728

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
---------------------------------------	---	---	--------------------------------------	---	--	---	--

MNR Common Stock	12/07/2017		G	5,000 (1)	D	\$ 17.85	851,233.716	D
------------------------	------------	--	---	--------------	---	-------------	-------------	---

MNR Common Stock	12/05/2017		G	25,000 (1)	D	\$ 17.63	856,233.716	D
------------------------	------------	--	---	---------------	---	-------------	-------------	---

MNR Common Stock	12/05/2017		G	25,000 (1)	A	\$ 17.63	179,405	I
------------------------	------------	--	---	---------------	---	-------------	---------	---

Eugene W.
Landy and
Gloria Landy
Family
Foundation

Edgar Filing: LANDY EUGENE W - Form 4

MNR Common Stock	36,152.66	I	Juniper Plaza Associates
MNR Common Stock	28,557.3	I	Windsor Industrial Park Associates
MNR Common Stock	97,913.57	I	Spouse
MNR Common Stock	192,293.62	I	Landy & Landy Employees' Pension Plan
MNR Common Stock	13,048	I	Landy Investments, Ltd.
MNR Common Stock	225,426.819	I	Landy & Landy Employees' Profit Sharing Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deri Secu (Inst
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Qualified Stock	\$ 15.04					01/04/2018	01/04/2025	MNR Common	65,000	

Option to Purchase MNR Common Stock					Stock	
Qualified Stock						
Option to Purchase MNR Common Stock	\$ 10.37	01/05/2017	01/05/2024	MNR Common Stock	65,000	
Qualified Stock						
Option to Purchase MNR Common Stock	\$ 11.16	01/05/2016	01/05/2023	MNR Common Stock	65,000	
Qualified Stock						
Option to Purchase MNR Common Stock	\$ 8.94	01/03/2015	01/03/2022	MNR Common Stock	65,000	
Qualified Stock						
Option to Purchase MNR Common Stock	\$ 10.46	01/03/2014	01/03/2021	MNR Common Stock	65,000	
Qualified Stock						
Option to Purchase MNR Common Stock	\$ 9.33	01/03/2013	01/03/2020	MNR Common Stock	65,000	
Qualified Stock						
Option to Purchase MNR Common Stock	\$ 8.72	01/03/2012	01/03/2019	MNR Common Stock	65,000	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LANDY EUGENE W 3499 RT. 9 NORTH SUITE 3D FREEHOLD, NJ 07728	X		Chairman of the Board	

Signatures

Eugene W. Landy	12/07/2017
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Gift Transfer

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.