## Edgar Filing: CATALYST PHARMACEUTICALS, INC. - Form 3/A

#### CATALYST PHARMACEUTICALS, INC.

Form 3/A October 09, 2015

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Merrigan Paul J

355 ALHAMBRA

CIRCLE, Â SUITE 1250

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

09/08/2015

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

CATALYST PHARMACEUTICALS, INC. [CPRX]

4. Relationship of Reporting

Person(s) to Issuer

Filed(Month/Day/Year)

09/16/2015

(Check all applicable)

Chief Commercial Officer

Director \_X\_\_ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

5. If Amendment, Date Original

Person

Form filed by More than One

Reporting Person

CORAL GABLES, ÂFLÂ 33134

(Street)

(City) (State) (Zip)

1. Title of Security

(Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

or Indirect (I) (Instr. 5)

Direct (D)

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Date

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

Expiration Exercisable Date

3. Title and Amount of Securities Underlying Derivative Security

(Instr. 4) Title Amount or Number of Shares

4. 5. Conversion or Exercise Price of

Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Direct (D)

or Indirect

Derivative

Security

(I)

						(IIIstr. 3)	
Options to purchase common stock	09/08/2016	09/08/2022	Common Stock	50,000	\$ 4.2 (2)	D	Â
Options to purchase common stock	(1)	09/08/2022	Common Stock	(1)	\$ 4.2 (2)	D	Â
Options to purchase	09/08/2018	09/08/2022	Common Stock	4,159	\$ 4.2 (2)	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Merrigan Paul J 355 ALHAMBRA CIRCLE SUITE 1250 CORAL GABLES. FL 33134	Â	Â	Chief Commercial Officer	Â		

## **Signatures**

/s/ Paul J. Merrigan	10/09/2015
**Signature of Reporting Person	Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 4,167 shares will vest on a monthly basis on the 8th of each month commencing on October 8, 2016 through and until August 8, 2018.
- This Form 3/A is being filed to correct a scrivener's error in the exercise price of the stock options reported in the Reporting Person's (2) Form 3 originally filed on September 16, 2015. The correct exercise price of the stock options is \$4.20 per share rather than the \$3.89 per share reported in the Form 3 as originally filed. In all other respects, the Form 3/A is identical to the Form 3 as originally filed.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2