Edgar Filing: XCEL ENERGY INC - Form 4

| XCEL ENER Form 4 | | | | | | | | | | | |
|---|--|--|--|----------------------------|--|---|--|--|---|--|--|
| November 05 FORM Check this if no longe subject to Section 16 Form 4 or | 4 UNITED | UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | | |
| Form 5 obligations may contin <i>See</i> Instruct 1(b). | Section 17(| Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | response 0.5 n | | |
| (Print or Type Re | esponses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> MADDEN TERESA S | | | 2. Issuer Name and Ticker or Trading Symbol | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| (Last) | (First) (I | Middle) | XCEL ENERGY INC [XEL] 3. Date of Earliest Transaction | | | | (Check all applicable) | | | | |
| 414 NICOLLET MALL (N (Street) 4. | | | (Month/Day/Year) 11/01/2007 | | | | Director 10% Owner Officer (give title Other (specify below) below) below) VP and Controller | | | | |
| | | | | ndment, Dat h/Day/Year) | - | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| MINNEAPO | LIS, MN 55401 | | | | | | Form filed by M Person | More than One Re | eporting | | |
| (City) | (State) | (Zip) | Table | e I - Non-Do | erivative S | ecurities A | cquired, Disposed o | f, or Beneficial | lly Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Dat (Month/Day/Year) | Execution Execut | emed on Date, if 'Day/Year) | Code (Instr. 8) | 4. Securit onAcquired Disposed (Instr. 3, | (A) or of (D) 4 and 5) (A) or | Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common Stock | | | | Coue v | Amount | (D) Price | | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: XCEL ENERGY INC - Form 4

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactiv Code (Instr. 8) | 5. Number on f Derivativ Securities Acquired (A) or Disposed o (D) (Instr. 3, 4, and 5) | ve Expiration l (Month/Day | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---|-------------------------------|--|-----------------|---|-------|
| | | | | Code V | (A) (I | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Phantom Stock | \$ 0 | 11/01/2007 | | А | 16.37 | (2) | (2) | Common Stock | 16.37 | \$ 21 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|-------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| MADDEN TERESA S 414 NICOLLET MALL MINNEAPOLIS, MN 55401 | | | VP and Controller | | | | |
| Signatures | | | | | | | |
| Carol J. Peterson, Attorney-in- Madden | 11/05/2007 | | | | | | |
| **Signature of Reportin | g Person | | Date | | | | |
| Evaluation of Boononooo | | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 147.40 shares of stock acquired pursuant to reinvestment of dividends.
- (2) Shares of phantom stock are payable in cash following termination of reporting person's employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.