SALUCK RANDY S. Form 3 May 16, 2013

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement CLEVELAND BIOLABS INC [CBLI] SALUCK RANDY S. (Month/Day/Year) 05/07/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O CLEVELAND BIOLABS, (Check all applicable) INC., 73 HIGH STREET (Street) 6. Individual or Joint/Group 10% Owner \_X\_\_ Director Officer \_ Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Person BUFFALO, NYÂ 14203 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â D Common Stock, par value \$0.005 per share 95,000 Common Stock, par value \$0.005 per share I Held by Mortar Rock L.P. (1) 81,000 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise		6. Nature of Indirect Beneficial Ownership (Instr. 5)
		(Instr. 4)	Price of	Derivative	

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Call Options (right to buy)	(2)	07/20/2013	Common Stock	63,900	\$ 2.5	D	Â
Call Options (right to buy)	(2)	07/20/2013	Common Stock	67,200	\$ 2.5	I	Held by Mortar Rock L.P. (1)
Common Stock Warrants (right to buy)	(2)	10/24/2017	Common Stock	25,000	\$ 3	I	Held by Mortar Rock L.P. (1)

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
<b>1</b>	Director	10% Owner	Officer	Other	
SALUCK RANDY S.					
C/O CLEVELAND BIOLABS, INC. 73 HIGH STREET	ÂΧ	Â	Â	Â	
BUFFALO, NY 14203					

### **Signatures**

/s/ Leah Brownlee, Attorney-in-fact for Randy S. Saluck 05/16/2013

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Mr. Saluck is a managing member of Mortar Rock Capital Management, LLC, which manages Mortar Rock L.P.
- (2) The holder of this security may exercise it at any time.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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