

Singleton Jake  
Form 3  
November 08, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Singleton Jake  
(Last) (First) (Middle)

16767 N. PERIMETER DRIVE,  
SUITE 240

(Street)

SCOTTSDALE, AZ 85260

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)  
11/06/2018

3. Issuer Name and Ticker or Trading Symbol  
JOINT Corp [JYNT]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
Chief Financial Officer

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

15,923 <sup>(1)</sup>

D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)  
Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:  
Direct (D)

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

## Edgar Filing: Singleton Jake - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	Â (2)	03/14/2026	Common stock	10,000	\$ 4.1	D	Â
Stock Option (right to buy)	Â (3)	08/09/2026	Common stock	20,000	\$ 2.24	D	Â
Stock Option (right to buy)	Â (4)	05/09/2027	Common stock	10,473	\$ 3.88	D	Â
Stock Option (right to buy)	Â (5)	11/10/2027	Common stock	10,000	\$ 5.51	D	Â
Stock Option (right to buy)	Â (6)	08/07/2028	Common stock	3,242	\$ 8.25	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Singleton Jake 16767 N. PERIMETER DRIVE, SUITE 240 SCOTTSDALE, AZ 85260	Â	Â	Â Chief Financial Officer	Â

## Signatures

/s/Robin C. Friedman,  
Attorney-in-fact

11/08/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) 11,455 of these shares are unvested restricted shares, 1000 of which will vest on 7/15/19, 2,613 of which will vest on 8/07/19, and 2,614 of which will vest on each of 8/07/20, 8/07/21 and 8/07/22.
- (2) 5,000 of these options are fully vested, and 2,500 will vest on each of 3/14/19 and 3/14/20.
- (3) 10,000 of these options are fully vested, and 5,000 will vest on each of 8/09/19 and 8/09/20.
- (4) All of these options are fully vested.
- (5) 2,500 options will vest on each of 11/10/18, 11/10/19, 11/10/20 and 11/10/21.
- (6) 811 of these options will vest on each of 8/07/19 and 8/07/20, and 810 of these options will vest on each of 8/07/21 and 8/07/22.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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