Cromer The Rt. Hon. The Earl of Form 4/A December 19, 2017

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

See Instruction

1(b).

(Print or	Type	Responses)
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1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

**OMB APPROVAL** 

OMB Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response... 0.5

5. Relationship of Reporting Person(s) to Cromer The Rt. Hon. The Earl of Issuer Symbol JPMORGAN CHINA REGION (Check all applicable) FUND, INC. [JFC] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Other (specify Officer (give title (Month/Day/Year) below) below) 6 SLOANE TERRACE MANSIONS 05/12/2017 \* Former Director (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person 07/18/2017 Form filed by More than One Reporting LONDON SW1X 9DG Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Owned Direct (D) Ownership (Instr. 8) Following or Indirect (Instr. 4) Reported (A) Transaction(s) (Instr. 4) (Instr. 3 and 4) Code V Amount (D) Price

Common 05/12/2017(1) S 7,160 D Stock 19.5548

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: Cromer The Rt. Hon. The Earl of - Form 4/A

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amount	of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securitie	es	(Instr. 5)	Bene
	Derivative				Securities	3		(Instr. 3	and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								Δ	mount		
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						Exercisable	Date	0			
				Code V	(A) (D)				hares		

# **Reporting Owners**

**Relationships** Reporting Owner Name / Address

> Director 10% Owner Officer Other

Cromer The Rt. Hon. The Earl of **6 SLOANE TERRACE MANSIONS** LONDON SW1X 9DG

\* Former Director

# **Signatures**

/s/The Rt. Hon. Earl of

Cromer 12/19/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This Form 4 is being filed to amend a Form 4 filed on July 18, 2017 whereby Lord Cromer reported: 1. Cancellation of 7,160 shares of Common Stock in connection with the liquidation of the Issuer; and 2. On July 14, 2017, he received a liquidation distribution from the Issuer comprised of (i) a cash component of \$14.8840 per share of Common Stock and (ii) equity interests in a liquidation trust holding **(1)** the right to receive proceeds from the sale of certain illiquid assets (such interests having a fair market value of \$5.1182 per share of Common Stock). However, Lord Cromer did not receive the liquidation distribution because he sold his 7,160 shares of Common Stock on May 12, 2017. That sale is being reported on this Form 4. Therefore, the Form 4 filed on July 18, 2017 should be disregarded.

### **Remarks:**

\* Lord Cromer was a Director of the Fund when the transaction being reported by this Form 4 occurred. Please note that an or Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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