

CHASE PETER R
Form 4
February 08, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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(Print or Type Responses)

1. Name and Address of Reporting Person *
CHASE PETER R

(Last) (First) (Middle)

26 SUMMER STREET

(Street)

BRIDGEWATER, MA 02324

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
CHASE CORP [CCF]

3. Date of Earliest Transaction
(Month/Day/Year)
02/06/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

Chairman and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|---|--------------------------------------|---|--|---|---|
| Chase Corporation Common Stock | 02/06/2013 | | S | 0 | D \$ 0 | 695,394 | D |
| Chase Corporation Common Stock | 02/06/2013 | | J ⁽¹⁾ | 0 | A \$ 0 | 254,759 | I |
| Chase Corporation Common Stock | 02/06/2013 | | J ⁽²⁾ | 0 | A \$ 0 | 150,323 | I |
| | | | | | | | Peter R. Chase 2012 Annuity Trust |
| | | | | | | | Peter R. Chase 2011 |

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| | | | | | | | | | |
|--------------------------------|------------|------------------|-----|---|----------|---------|---|--|----------------------------------|
| Stock | | | | | | | | | Annuity Trust |
| Chase Corporation Common Stock | 02/06/2013 | J ⁽³⁾ | 0 | D | \$ 0 | 104,845 | I | | Peter R. Chase Insurance Trust |
| Chase Corporation Common Stock | 02/06/2013 | J ⁽⁴⁾ | 500 | D | \$ 18.29 | 28,500 | I | | Kristin Chase Ferioli 2012 Trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other |
|--|----------|-----------|------------------|-------|
| CHASE PETER R 26 SUMMER STREET BRIDGEWATER, MA 02324 | X | X | Chairman and CEO | |

Signatures

Paula Myers by power of attorney
02/08/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Represents shares held by the Peter R. Chase 2012 qualified Annuity Trust, a grantor retained annuity trust.
- (2) Represents shares held by the Peter R. Chase 2011 qualified Annuity Trust, a grantor retained annuity trust.
- (3) Represents shares held by the Peter R. Chase Insurance Trust.
- (4) Reflects shares held in trust for the benefit of the reporting person's daughter, of which the reporting person's spouse is trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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