

ALPHA PRO TECH LTD  
Form 4  
September 05, 2013

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HOFFMAN LLOYD

(Last) (First) (Middle)

60 CENTURIAN DRIVE SUITE  
112

(Street)

MARKHAM, A6 L3R 9R2

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
ALPHA PRO TECH LTD [APT]

3. Date of Earliest Transaction  
(Month/Day/Year)

09/03/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
CFO and Sr VP Finance and Admi

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)		
				(A) or (D)	Price				
common stock	09/03/2013		M	V	50,000	A	\$ 1.23	111,000	D
common stock	09/03/2013		S		50,000	D	\$ 1.51	61,000	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

Edgar Filing: ALPHA PRO TECH LTD - Form 4

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
employee stock option (right to buy)	\$ 1.23	09/03/2013		M	16,666	09/10/2009 09/10/2013	common stock	16,666
employee stock options(right to buy)	\$ 1.23	09/03/2013		M	16,666	09/10/2010 09/10/2013	common stock	16,666
employee stock options (right to buy)	\$ 1.23	09/03/2013		M	16,668	09/10/2011 09/10/2013	common stock	16,668

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HOFFMAN LLOYD 60 CENTURIAN DRIVE SUITE 112 MARKHAM, A6 L3R 9R2			CFO and Sr VP Finance and Admi	

## Signatures

Lloyd Hoffman                      09/05/2013  
 \*\*Signature of                      Date  
 Reporting Person

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This total also reflects the expiration and disposition of 50,000 stock options granted to the reporting person on August 23,2006 with an exercise price of \$1.98, which expired on August 22, 2011 and 50,000 stock options granted to the reporting person on August 29,2007 with an exercise price of \$1.50, which expired on August 29, 2012 (the"Expired Options"). The reporting person did not receive any value in connection with the expiration of the Expired Options; therefore, the resulting disposition of the Expired Options was exempt from Section 16(b) by virtue of Rule 16b-6(d) and further from the reporting requirements of Section 16(a) by virtue of Rule 16a-4(d), both rules promulgated by the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934, as amended.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

## Edgar Filing: ALPHA PRO TECH LTD - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.