Edgar Filing: Fess Darryl J. - Form 4

Fess Darryl J. Form 4												
August 06, 20)18											
FORM	Л									OMB APPROVAL		
Washington, D.C. 20549									OMB Number:	3235-0287		
Check this if no longe	ar			~ ~ ~ ~			~			Expires:	January 31 2005	
subject to Section 16. Form 4 or Form 5 obligations may continue STATEMENT OF CHA				ANGES IN BENEFICIAL OW SECURITIES on 16(a) of the Securities Exchang c Utility Holding Company Act of					ge Act of 1934, f 1935 or Sectio	Estimated burden hou response n	average Irs per	
<i>See</i> Instruct 1(b).	ction	30(n)	of the Inv	vestmei	nt C	Company	y Act	01 19	40			
(Print or Type R	esponses)											
1. Name and Address of Reporting Person <u>*</u> Fess Darryl J.			2. Issuer Name and Ticker or Trading Symbol						5. Relationship of Reporting Person(s) to Issuer			
	BROOK [BRKL]		BA	NCORP	P INC		(Check all applicable)					
(Last) (First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year)						Director 10% Owner Officer (give title below) Other (specify below)			
131 CLARENDON STREET			08/02/2018						President of Subsidiary Bank			
				4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
BOSTON, M	IA 02116								Person	Aore than One R	eporung	
(City)	(State) (Z	Zip)	Table	e I - Non	-De	erivative S	ecuri	ties Ac	quired, Disposed of	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Da any (Month/Day/Year)		on Date, if	Code Disposed of (D)			Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
_				Code	v	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	08/02/2018			А		2,817 (1)	А	\$0	26,400	D		
Common Stock	08/02/2018			А		2,818 (2)	А	\$0	29,218	D		
Common Stock	08/02/2018			F		329	D	\$0	28,889 <u>(3)</u>	D		
Common Stock									2,077	I	By ESOP	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	ionNumber	Expiration D	Date	Amou	int of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day	/Year)	Under	lying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities	3		(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable	Date	Title	Number		
						Literensuere	Duit		of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Fess Darryl J. 131 CLARENDON STREET BOSTON, MA 02116			President of Subsidiary Bank					
Signatures								

Jighat

Darryl J. Fess, by Marissa S. Frerk, POA

08/06/2018

Date

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Restricted stock shares granted pursuant to the Brookline Bancorp, Inc. 2014 Equity Incentive Plan. The shares vest in three equal (1)installments on an annual basis, commencing one year from the date of grant.
- Performance based restricted stock shares granted pursuant to the Brookline Bancorp, Inc. 2014 Equity Incentive Plan. The shares vest on (2) the third anniversary of the date of grant based on certain performance based metrics.
- Includes shares of restricted stock granted pursuant to the Brookline Bancorp, Inc. 2014 Equity Incentive Plan. (3)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.