#### Edgar Filing: PONTARELLI THOMAS - Form 3

#### PONTARELLI THOMAS

Form 3

February 06, 2009

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

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SECURITIES

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement CNA FINANCIAL CORP [CNA]  **PONTARELLI THOMAS** (Month/Day/Year) 02/04/2009 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 333 S. WABASH AVE. (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) CHICAGO, ILÂ 60604 Form filed by More than One **Executive Vice President** Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock \$2.50 par value 400 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

 $Table\ II\ -\ Derivative\ Securities\ Beneficially\ Owned\ (\textit{e.g.},\ puts,\ calls,\ warrants,\ options,\ convertible\ securities)$ 

1. Title of Derivative Security 2. Date Exercisable and (Instr. 4) Expiration Date (Month/Day/Year)		le and	3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative	Security:	
					Security Direct (D)		
						or Indirect	

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				Shares		(I) (Instr. 5)	
Stock Appreciation Rights (1)	02/04/2010(1)	02/04/2019	Common Stock	15,000	\$ 11.51	D	Â
Stock Appreciation Rights (2)	02/06/2009(2)	02/06/2018	Common Stock	15,000	\$ 32.54	D	Â
Stock Appreciation Rights (3)	02/07/2008(3)	02/07/2017	Common Stock	15,000	\$ 41.86	D	Â
Stock Appreciation Rights (4)	02/08/2007(4)	02/08/2016	Common Stock	15,000	\$ 30.98	D	Â
Stock Options (5)	02/09/2006(5)	02/09/2015	Common Stock	7,500	\$ 27.27	D	Â
Stock Options (6)	04/28/2005(6)	04/28/2014	Common Stock	7,500	\$ 26.27	D	Â
Stock Options (7)	05/07/2004(7)	05/07/2013	Common Stock	3,750	\$ 24.69	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>Fg</b>	Director 10% Owner		Officer	Other		
PONTARELLI THOMAS 333 S. WABASH AVE.	Â	â	Executive Vice President	â		
CHICAGO Â II Â 60604	7.1	71	A Executive vice i resident	11		

# **Signatures**

Thomas
Pontarelli

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock appreciation rights paid in CNA Financial Corporation Common Stock vests annually in four equal installments commencing February 4, 2010.
- (2) The stock appreciation rights paid in CNA Financial Corporation Common Stock vests annually in four equal installments commencing February 6, 2009.
- (3) The stock appreciation rights paid in CNA Financial Corporation Common Stock vests annually in four equal installments commencing February 7, 2008.
- (4) The stock appreciation rights paid in CNA Financial Corporation Common Stock vests annually in four equal installments commencing February 8, 2007.
- (5) The stock options paid in CNA Financial Corporation Common Stock vests annually in four equal installments commencing February 9, 2006.

**(6)** 

Reporting Owners 2

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The stock options paid in CNA Financial Corporation Common Stock vests annually in four equal installments commencing April 28, 2005.

(7) The stock options paid in CNA Financial Corporation Common Stock vests annually in four equal installments commencing May 7, 2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.