## Edgar Filing: BARRIS PETER J - Form 4

BARRIS PETER J Form 4 November 22, 2011						OMB AF	PROVAL		
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						OMB Number:	3235-0287		
Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF							•		
(Print or Type Responses)									
1. Name and Address of Reporting Person <u>*</u> BARRIS PETER J	2. Issuer Name and Symbol Clovis Oncology			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) (Middle)	3. Date of Earliest Transaction								
1954 GREENSPRING DRIVE, SUITE 600	(Month/Day/Year) 11/21/2011	21/2011 -				Director     X 10% Owner       Officer (give title     Other (specify below)			
(Street)	Date Original       6. Individual or Joint/Group Filing(Check         Year)       Applicable Line)         _X_ Form filed by One Reporting Person								
TIMONIUM, MD 21093 Form filed by More than One Reporting Person									
(City) (State) (Zip)	Table I - Non-J	Derivative Sec	urities 4	Acqui	red, Disposed of,	or Beneficial	ly Owned		
(Instr. 3) any		Transaction Disposed of (D) Code (Instr. 3, 4 and 5)			Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common 11/21/2011	Code V	Amount		Price	(Instr. 3 and 4)		See Note		
Stock 11/21/2011	С	1,726,248	A	<u>(1)</u>	1,726,248	Ι	$2 \frac{(2)}{2}$		
Common 11/21/2011 Stock	С	377,124	A	<u>(3)</u>	2,103,372	I	See Note $2 \frac{(2)}{2}$		
Common 11/21/2011 Stock	Р	1,316,299	A	\$ 13	3,419,671	Ι	See Note $2 \frac{(2)}{2}$		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount o Number o Shares
Series A-1 Preferred Stock	<u>(1)</u>	11/21/2011		С		1,186,897	<u>(1)</u>	<u>(1)</u>	Common Stock	409,274
Series A-2 Preferred Stock	<u>(1)</u>	11/21/2011		С		1,206,897	<u>(1)</u>	<u>(1)</u>	Common Stock	416,171
Series B Preferred Stock	<u>(1)</u>	11/21/2011		С		2,612,330	<u>(1)</u>	<u>(1)</u>	Common Stock	900,803
5% Covertible Notes due 2012	<u>(3)</u>	11/21/2011		С		377,124	(3)	<u>(3)</u>	Common Stock	377,124

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
reporting of their funne ( frautess	Director	10% Owner	Officer	Other			
BARRIS PETER J 1954 GREENSPRING DRIVE SUITE 600 TIMONIUM, MD 21093		Х					
Signatures							
/s/ Louis Citron, attorney-in-fact	11/2	2/2011					
<b>**</b> Signature of Reporting Person		Date					

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Each share of Series A-1 Preferred Stock, Series A-2 Preferred Stock and Series B Preferred Stock automatically converted into the (1) Issuer's Common Stock, on a 2.9 for 1 basis (inadvertently reported as a 1 for 1 basis on Form 3, filed November 15, 2011), immediately

prior to the closing of the Issuer's initial public offering on November 21, 2011 and had no expiration date.

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The Reporting Person is a director of NEA 13 GP, LTD ("NEA 13 LTD"), the sole general partner of NEA Partners 13, L.P., ("NEA Partners 13"), the sole general partner of New Enterprise Associates 13, L.P. ("NEA 13"), which is the direct beneficial owner of the

- (2) Faithers 15 ), the sole general particle of New Enterprise Associates 15, L.F. (NEA 15 ), which is the direct beneficial owner of the securities. The Reporting Person disclaims beneficial ownership, within the meaning of Section 16 of the Securities Exchange Act of 1934, as amended, or otherwise of such portion of the NEA 13 shares in which the Reporting Person has no pecuniary interest.
- (3) The outstanding principal and accrued and unpaid interest on the Convertible Notes automatically converted into shares of Common Stock immediately prior to the closing of the Issuer's initial public offering at the Issuer's initial public offering price per share.

The Reporting Person is a director of NEA 13 LTD, the sole general partner of NEA Partners 13, the sole general partner of NEA 13, which is the holder of the Convertible Notes. The Reporting Person disclaims beneficial ownership within the meaning of Section 16 of

(4) which is the holder of the Convertible Notes. The Reporting Person disenting of the Convertible Notes in which the Reporting Person has no pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.