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MARRONE BIO INNOVATIONS INC

Form 4

August 09, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Syngenta Ventures Pte. Ltd.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

MARRONE BIO INNOVATIONS

(Check all applicable)

INC [MBII]

08/07/2013

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director Officer (give title below)

X 10% Owner _ Other (specify

1. HARBOUR FRONT AVENUE #03-03, KEPPEL BAY TOWER

(First)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

U0 098632

(Last)

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative Sec	urities A	Acqui	red, Disposed of,	or Beneficiall	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) our Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D) 1	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	08/07/2013		C	1,589,368 (1)	A	(1)	2,153,638	D	
Common Stock	08/07/2013		C	68,266 <u>(2)</u>	A	(2)	2,221,904	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A	A) (D)	Date Exercisable	Expiration Date	Title	Amour Number Shares
Convertible Promissory Note	\$ 8.4	08/07/2013		C		\$ 13,350,694 <u>(1)</u>	<u>(1)</u>	<u>(1)</u>	Common Stock	1,589
Convertible Promissory Note	\$ 8.4	08/07/2013		C		\$ 573,434 (2)	(2)	(2)	Common Stock	68,2

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Syngenta Ventures Pte. Ltd. 1, HARBOUR FRONT AVENUE #03-03 KEPPEL BAY TOWER U0 098632		X				

Signatures

/s/ Donald J. Glidewell, Donald J. Glidewell, Attorney-in-Fact

08/09/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Principal and accrued interest of \$13,350,694 under a convertible promissory note automatically converted into common stock upon the completion of the Issuer's initial public offering at a rate of \$8.40 per share.
- (2) Principal and accrued interest of \$573,434 under a convertible promissory note automatically converted into common stock upon the completion of the Issuer's initial public offering at a rate of \$8.40 per share.
- (3) Lists original principal under the convertible promissory note as of December 6, 2012, the date of purchase.
- (4) Lists original principal under the convertible promissory note as of March 19, 2012, the date of purchase.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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