

FIRST CITIZENS BANCSHARES INC /DE/  
 Form 4  
 September 06, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**CONNELL HOPE HOLDING**

2. Issuer Name and Ticker or Trading Symbol  
**FIRST CITIZENS BANCSHARES INC /DE/ [FCNCA]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
**4300 SIX FORKS ROAD**  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
**09/05/2013**

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 Vice Chairman

**RALEIGH, NC 27609**

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Class A Common Stock            |                                      |  |                                | (A) or (D)  | Amount  |  |   |
| Class A Common Stock            |                                      |  |                                | (A) or (D)  | 121,256   | D  |   |
| Class A Common Stock            |                                      |  |                                | (A) or (D)  | 5,320   | I  | As beneficiary of Trust                               |
| Class A Common Stock            |                                      |  |                                | (A) or (D)  | 891   | I (1)  | As Custodian for Elliot                               |
| Class A Common Stock            |                                      |  |                                | (A) or (D)  | 330   | I (1)  | As custodian for John Patrick                         |

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|                            |            |   |     |   |              |         |                  |  |  |
|----------------------------|------------|---|-----|---|--------------|---------|------------------|--|--|
| Stock                      |            |   |     |   |              |         |                  |  |  |
| Class A<br>Common<br>Stock |            |   |     |   |              | 1,390   | I <sup>(1)</sup> |  | John Connell as<br>Custodian for<br>Hewlette             |
| Class A<br>Common<br>Stock |            |   |     |   |              | 1,290   | I <sup>(1)</sup> |  | John Connell as<br>Custodian for John<br>Patrick         |
| Class A<br>Common<br>Stock |            |   |     |   |              | 18,845  | I <sup>(2)</sup> |  | By Yadkin Valley<br>Company and<br>subsidiary            |
| Class A<br>Common<br>Stock |            |   |     |   |              | 627     | I <sup>(2)</sup> |  | By E&F<br>Properties, Inc.                               |
| Class A<br>Common<br>Stock |            |   |     |   |              | 8,214   | I <sup>(2)</sup> |  | By Twin States<br>Farming, Inc.                          |
| Class A<br>Common<br>Stock | 09/05/2013 | S | 500 | D | \$<br>203.32 | 171,327 | I <sup>(2)</sup> |  | By Southern<br>BancShares (N.C.),<br>Inc. and subsidiary |
| Class A<br>Common<br>Stock | 09/05/2013 | S | 500 | D | \$ 204       | 170,827 | I <sup>(2)</sup> |  | By Southern<br>BancShares(N.C.),<br>Inc. and subsidiary  |
| Class A<br>Common<br>Stock | 09/05/2013 | S | 500 | D | \$<br>204.73 | 170,327 | I <sup>(2)</sup> |  | By Southern<br>BancShares(N.C.),<br>Inc. and subsidiary  |
| Class A<br>Common<br>Stock | 09/05/2013 | S | 500 | D | \$<br>205.46 | 169,827 | I <sup>(2)</sup> |  | By Southern<br>BancShares(N.C.),<br>Inc. and subsidiary  |
| Class A<br>Common<br>Stock | 09/05/2013 | S | 500 | D | \$ 206       | 169,327 | I <sup>(2)</sup> |  | By Southern<br>BancShares(N.C.),<br>Inc. and subsidiary  |
| Class A<br>Common<br>Stock | 09/05/2013 | S | 500 | D | \$<br>206.25 | 168,827 | I <sup>(2)</sup> |  | By Southern<br>BancShares(N.C.),<br>Inc. and subsidiary  |
| Class A<br>Common<br>Stock |            |   |     |   |              | 100,000 | I <sup>(2)</sup> |  | By Fidelity<br>BancShares (N.C.),<br>Inc.                |
| Class B<br>Common<br>Stock |            |   |     |   |              | 100,828 | D                |  |  |
| Class B<br>Common<br>Stock |            |   |     |   |              | 1,225   | I                |  | As beneficiary of<br>Trust                               |

|                            |        |              |  |
|----------------------------|--------|--------------|--|
| Class B<br>Common<br>Stock | 1,751  | I <u>(1)</u> | As custodian for<br>Hewlette Connell                     |
| Class B<br>Common<br>Stock | 6,250  | I <u>(1)</u> | Hewlette Collier<br>Connell                              |
| Class B<br>Common<br>Stock | 6,751  | I <u>(1)</u> | As custodian for<br>John Patrick                         |
| Class B<br>Common<br>Stock | 6,950  | I <u>(1)</u> | As custodian for<br>Elliot                               |
| Class B<br>Common<br>Stock | 323    | I <u>(1)</u> | John Connell as<br>custodian for<br>Hewlette             |
| Class B<br>Common<br>Stock | 323    | I <u>(1)</u> | John Connell as<br>custodian for John<br>Patrick         |
| Class B<br>Common<br>Stock | 100    | I <u>(1)</u> | John Connell as<br>custodian for Elliot                  |
| Class B<br>Common<br>Stock | 1,900  | I <u>(2)</u> | By Yadkin Valley<br>Company and<br>subsidiary            |
| Class B<br>Common<br>Stock | 200    | I <u>(2)</u> | By E&F<br>Properties, Inc.                               |
| Class B<br>Common<br>Stock | 1,355  | I <u>(2)</u> | By Twin States<br>Farming, Inc.                          |
| Class B<br>Common<br>Stock | 22,619 | I <u>(2)</u> | By Southern<br>BancShares (N.C.),<br>Inc. and subsidiary |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security | 2. Conversion<br>or Exercise | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any | 4. Transaction<br>Code | 5. Number<br>of | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying | 8. Price of<br>Derivative<br>Security | 9. Nu<br>Deriv<br>Secur |
|---------------------------------------|------------------------------|---|---|------------------------|-----------------|--|---|---------------------------------------|-------------------------|
|---------------------------------------|------------------------------|---|---|------------------------|-----------------|--|---|---------------------------------------|-------------------------|

