

PALL CORP

Form 4

October 08, 2013

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
MOSCHELLA FRANCIS B

(Last) (First) (Middle)

**C/O PALL CORPORATION, 25
HARBOR PARK DRIVE**

(Street)

PORT WASHINGTON, NY 11050

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
PALL CORP [PLL]

3. Date of Earliest Transaction
(Month/Day/Year)
10/04/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
☒ Officer (give title below) ____ Other (specify below)

CAO & Corporate Controller

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/04/2013		M	1,750 A	\$ 48.72 1,917.36	D	
Common Stock	10/04/2013		S	1,750 D	\$ 77.373 167.36 (1)	D	
Common Stock	10/04/2013		M	2,790 A	\$ 39.02 2,957.36	D	
Common Stock	10/04/2013		S	2,790 D	\$ 77.316 167.36 (2)	D	
	10/04/2013		M	663 A	\$ 28.11 830.36	D	

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Common
Stock

Common Stock	10/04/2013		S	663	D	\$ 77.315 (3)	167.36	D
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Employee Stock Options (Rights to Buy)	\$ 48.72	10/04/2013		M	437	07/17/2008 07/17/2014	Common Stock	437
Employee Stock Options (Rights to Buy)	\$ 48.72	10/04/2013		M	438	07/17/2009 07/17/2014	Common Stock	438
Employee Stock Options (Rights to Buy)	\$ 48.72	10/04/2013		M	437	07/17/2010 07/17/2014	Common Stock	437
Employee Stock Options (Rights to Buy)	\$ 48.72	10/04/2013		M	438	07/17/2011 07/17/2014	Common Stock	438

Employee Stock Options (Rights to Buy)	\$ 39.02	10/04/2013	M	697	07/15/2009	07/15/2015	Common Stock	697
Employee Stock Options (Rights to Buy)	\$ 39.02	10/04/2013	M	698	07/15/2010	07/15/2015	Common Stock	698
Employee Stock Options (Rights to Buy)	\$ 39.02	10/04/2013	M	697	07/15/2011	07/15/2015	Common Stock	697
Employee Stock Options (Rights to Buy)	\$ 39.02	10/04/2013	M	698	07/15/2012	07/15/2015	Common Stock	698
Employee Stock Options (Rights to Buy)	\$ 28.11	10/04/2013	M	663	07/16/2013	07/16/2016	Common Stock	663

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MOSCHELLA FRANCIS B C/O PALL CORPORATION 25 HARBOR PARK DRIVE PORT WASHINGTON, NY 11050			CAO & Corporate Controller	

Signatures

/s/ Cherita Thomas as Attorney-in-Fact for Francis B. Moschella

10/08/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This transaction was executed in multiple trades at prices ranging from \$77.35 - \$77.39. The price reported above reflects the weighted average sales price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, Pall Corporation or a shareholder of Pall Corporation, full information regarding the numbers of shares and prices at which the transaction was affected.

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- (2) This transaction was executed in multiple trades at prices ranging from \$77.28 - \$77.34. The price reported above reflects the weighted average sales price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, Pall Corporation or a shareholder of Pall Corporation, full information regarding the numbers of shares and prices at which the transaction was affected.

- (3) This transaction was executed in multiple trades at prices ranging from \$77.30 - \$77.32. The price reported above reflects the weighted average sales price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, Pall Corporation or a shareholder of Pall Corporation, full information regarding the numbers of shares and prices at which the transaction was affected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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