#### TD AMERITRADE HOLDING CORP

Form 4 July 24, 2006

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB Washington, D.C. 20549 Number: Expires:

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

RICKETTS J JOE

TD AMERITRADE HOLDING CORP [AMTD]

(Check all applicable)

**OMB APPROVAL** 

Estimated average

burden hours per

response...

3235-0287

January 31,

2005

0.5

(Last) (First) (Middle)

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director X\_\_ 10% Owner \_Other (specify X\_ Officer (give title below)

4211 SOUTH 102ND STREET

07/21/2006

Chairman 6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

**OMAHA, NE 68127** 

| (City)                               | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                 |  |     |                |  |  |   |  |  |
|--------------------------------------|---|--|-----------------|--|-----|----------------|--|--|---|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | Code (Instr. 8) | 4. Securities Acquired (A) or or Disposed of (D) (Instr. 3, 4 and 5)  (A) or |     |                | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Common<br>Stock                      | 07/21/2006                              |  | Code V P        | Amount 22,042  | (D) | Price \$ 15.59 | 72,116,387<br>(1)  | D  |   |  |  |
| Common<br>Stock                      | 07/21/2006                              |  | P               | 34,938   | A   | \$ 15.6        | 72,151,325<br>(1)  | D  |   |  |  |
| Common<br>Stock                      | 07/21/2006                              |  | P               | 243,936  | A   | \$ 15.61       | 72,395,261<br>(1)  | D  |   |  |  |
| Common<br>Stock                      | 07/21/2006                              |  | P               | 23,933   | A   | \$ 15.62       | 72,419,194<br>(1)  | D  |   |  |  |
| Common<br>Stock                      | 07/21/2006                              |  | P               | 48,223   | A   | \$ 15.63       | 72,467,417<br>(1)  | D  |   |  |  |

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| Common<br>Stock | 07/21/2006 | P | 3,100   | A | \$ 15.64      | 72,470,517<br>(1) | D |
|-----------------|------------|---|---------|---|---------------|-------------------|---|
| Common<br>Stock | 07/21/2006 | P | 349,856 | A | \$ 15.65      | 72,820,373<br>(1) | D |
| Common<br>Stock | 07/21/2006 | P | 44,928  | A | \$<br>15.6591 | 72,865,301<br>(1) | D |
| Common<br>Stock | 07/21/2006 | P | 153,874 | A | \$ 15.66      | 73,019,175<br>(1) | D |
| Common<br>Stock | 07/21/2006 | P | 58,928  | A | \$ 15.67      | 73,078,103<br>(1) | D |
| Common<br>Stock | 07/21/2006 | P | 168,079 | A | \$ 15.68      | 73,246,182<br>(1) | D |
| Common<br>Stock | 07/21/2006 | P | 18,000  | A | \$ 15.685     | 73,264,182<br>(1) | D |
| Common<br>Stock | 07/21/2006 | P | 40,444  | A | \$ 15.69      | 73,304,626<br>(1) | D |
| Common<br>Stock | 07/21/2006 | P | 13,865  | A | \$ 15.7       | 73,318,491<br>(1) | D |
| Common<br>Stock | 07/21/2006 | P | 5,400   | A | \$ 15.71      | 73,323,891<br>(1) | D |
| Common<br>Stock | 07/21/2006 | P | 5,526   | A | \$<br>15.7115 | 73,329,417<br>(1) | D |
| Common<br>Stock | 07/21/2006 | P | 151,023 | A | \$ 15.72      | 73,480,440<br>(1) | D |
| Common<br>Stock | 07/21/2006 | P | 7,500   | A | \$ 15.73      | 73,487,940<br>(1) | D |
| Common<br>Stock | 07/21/2006 | P | 11,000  | A | \$ 15.74      | 73,498,940<br>(1) | D |
| Common<br>Stock | 07/21/2006 | P | 89,070  | A | \$ 15.75      | 73,588,010<br>(1) | D |
| Common<br>Stock | 07/21/2006 | P | 108,578 | A | \$ 15.76      | 73,696,588<br>(1) | D |
| Common<br>Stock | 07/21/2006 | P | 26,418  | A | \$ 15.77      | 73,723,006<br>(1) | D |
| Common<br>Stock | 07/21/2006 | P | 63,141  | A | \$ 15.78      | 73,786,147<br>(1) | D |
| Common<br>Stock | 07/21/2006 | P | 40,952  | A | \$ 15.79      | 73,827,099<br>(1) | D |
| Common<br>Stock | 07/21/2006 | P | 149,745 | A | \$ 15.8       | 73,976,844<br>(1) | D |
|                 | 07/21/2006 | P | 89,911  | A | \$ 15.81      |                   | D |

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| Common<br>Stock |            |   |        |   |          | 74,066,755<br>(1) |   |
|-----------------|------------|---|--------|---|----------|-------------------|---|
| Common<br>Stock | 07/21/2006 | P | 37,450 | A | \$ 15.82 | 74,104,205<br>(1) | D |
| Common<br>Stock | 07/21/2006 | P | 3,150  | A | \$ 15.84 | 74,107,355<br>(1) | D |
| Common<br>Stock | 07/21/2006 | P | 18,792 | A | \$ 15.85 | 74,126,147<br>(1) | D |
| Common<br>Stock | 07/21/2006 | P | 13,011 | A | \$ 15.86 | 74,139,158<br>(1) | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | of<br>Derivative<br>Securities<br>Acquired<br>(A) or |                     | ate                | 7. Title<br>Amount<br>Underly<br>Securiti<br>(Instr. 3 | t of<br>ying<br>es | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo |
|---|---|--------------------------------------|--|--|---------------------|--------------------|--|--------------------|---|--|
|   |   |                                      |  | Disposed   |                     |                    |  |                    |   | Trans  |
|   |   |                                      |  | of (D)   |                     |                    |  |                    |   | (Instr   |
|   |   |                                      |  | (Instr. 3, 4, and 5)                                 |                     |                    |  |                    |   |  |
|   |   |                                      |  | ., 3)  |                     |                    | Δ  | Amount             |   |  |
|   |   |                                      |  |  | Date<br>Exercisable | Expiration<br>Date | Title N  | Number             |   |  |
|   |   |                                      | Code V                                 | (A) (D)  |                     |                    | S  | Shares             |   |  |

## **Reporting Owners**

| Reporting Owner Name / Address                               |          |           |          |       |
|--|----------|-----------|----------|-------|
|  | Director | 10% Owner | Officer  | Other |
| RICKETTS J JOE<br>4211 SOUTH 102ND STREET<br>OMAHA, NE 68127 | X        | X         | Chairman |       |

# **Signatures**

/s/ J. Joe

Ricketts 07/24/2006

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\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares jointly owned by Mr. Ricketts and his spouse.

#### **Remarks:**

Three of four.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4