Edgar Filing: TD AMERITRADE HOLDING CORP - Form 4

TD AMERITE Form 4 May 04, 2010	RADE HOLD	ING CORI	P								
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION											
Check this if no longer subject to Section 16. Form 4 or Form 5	Washington, D.C. 20549								Number: Expires: Estimated a	Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per	
obligations may contin <i>See</i> Instruct 1(b).	ue. Section 17	7(a) of the		ility Hold	ing Com	pany	Act o	ge Act of 1934, f 1935 or Sectic 40	on		
(Print or Type Re	sponses)										
1. Name and Address of Reporting Person <u>*</u> RICKETTS J PETER			2. Issuer Name and Ticker or Trading Symbol TD AMERITRADE HOLDING CORP [AMTD]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 4211 SOUTH	ast) (First) (Middle) 3.			3. Date of Earliest Transaction (Month/Day/Year) 04/30/2010				X_Director10% Owner Officer (give titleOther (specify below) below)			
				If Amendment, Date Original led(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
(City)	(State)	(Zip)	Table	I - Non-D	erivative S	Securi	ties A c	Person quired, Disposed o	f or Beneficia	lly Owned	
	2. Transaction D (Month/Day/Yea	ar) Execution any		3. Transactio Code (Instr. 8)	4. Securi onAcquired Disposed (Instr. 3,	ties l (A) c l of (D 4 and (A) or	or)) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	•	
Common Stock	04/30/2010			Code V J(1)	Amount 2,500	(D) D	Price \$ 0	452,780	D		
Common Stock								236,787	I	By annuity trust (2)	
Common Stock								876,865	Ι	By annuity trust (3)	
Common Stock								61,401	Ι	By his children (4)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed	6. Date Exercisable and Expiration Date (Month/Day/Year)		Amou Under Secur	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo
				of (D)						Trans (Instr
				(Instr. 3, 4, and 5)						
					Date Exercisable	Expiration Date	Title	Amount or Number of		
			Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships						
1 0 0	Director	10% Owner	Officer	Other				
RICKETTS J PETER 4211 SOUTH 102ND OMAHA, NE 68127	STREET	Х						
Signatures								
/s/ J. Peter Ricketts	05/04	/2010						
** Signature of	E	ate						

<u>**</u>Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transfer of shares, for no consideration, as a charitable donation.
- (2) Shares are held by annuity trusts, for which Mr. Ricketts is the grantor and a beneficiary.
- (3) Shares are held by the Marlene M. Ricketts 2004-2 Qualified Annuity Trust, for which Mr. Ricketts is co-trustee and his mother is the grantor and a beneficiary.
- (4) Shares are held by trusts created for Mr. Ricketts' children.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.