#### RICKETTS MARLENE M

Form 4 April 22, 2011

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

response...

See Instruction

1(b).

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* RICKETTS MARLENE M

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to

Issuer

TD AMERITRADE HOLDING CORP [AMTD]

(Check all applicable)

(Middle) (First) 3. Date of Earliest Transaction

(Month/Day/Year) 04/20/2011

Director X\_\_ 10% Owner Officer (give title \_ Other (specify below)

C/O HUGO LLC, 1395 S. PLATTE **RIVER DRIVE** 

(Street)

Filed(Month/Day/Year)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

DENVER, CO 80223

(City)	(State)	(Zip) Tabl	e I - Non-l	Derivative Se	curitio	es Acqı	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed 3.		4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	04/20/2011		J <u>(1)</u>	4,550	A	\$ 0	14,562,385	D	
Common Stock	04/21/2011		G(2)	4,550	D	\$0	14,557,835	D	
Common Stock	03/01/2011		$G^{(3)}$ V	180,000	D	\$0	14,377,835	D	
Common Stock	02/14/2011		$G^{(2)}$ V	48,275	D	\$0	14,329,560	D	
Common Stock	12/30/2010		G(4) V	6,650	D	\$ 0	14,322,910	D	

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Common Stock	12/30/2010	G <sub>(4)</sub> V 6,650	D	\$ 0 14,316,260	D	
Common Stock	12/30/2010	G(4) V 6,650	D :	\$ 0 14,309,610	D	
Common Stock	12/30/2010	G(4) V 6,650	D :	\$ 0 14,302,960	D	
Common Stock	12/30/2010	G(4) V 6,650	D :	\$ 0 14,296,310	D	
Common Stock				50,264,240	I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secun Bene Owno Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
RICKETTS MARLENE M C/O HUGO LLC, 1395 S. PLATTE RIVER DRIVE DENVER, CO 80223		X				
Signatures						

/s/ J. Peter Ricketts as attorney-in-fact for Marlene M.

04/22/2011 Ricketts

2 Reporting Owners

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\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transfer of shares, for no consideration, to Mrs. Ricketts from J. Joe Ricketts, her spouse.
- (2) Transfer of shares, for no consideration, as a charitable donation.
- (3) Transfer of shares, for no consideration, to the Marlene M. Ricketts Special Trust from Mrs. Ricketts, the grantor of such trust.
- (4) Transfer of shares, for no consideration, to one of Mrs. Ricketts' grandchildren.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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