## Edgar Filing: Patteson Donald Douglas Jr - Form 4

Patteson Donald Douglas Jr									
Form 4									
August 06, 2012									
		ITIES AN hington, I			COMMISSION		PPROVAL 3235-0287		
Subject to Section 16. Form 4 or Form 5 Filed pursua obligations may continue. Section 17(a) o	NT OF CHANG	GES IN B SECURI (a) of the lity Holdi	ENEFI TIES Securiti	CIAL OW es Exchang pany Act o	ge Act of 1934, f 1935 or Sectio	Expires: Estimated a burden hou response	irs per		
(Print or Type Responses)									
1. Name and Address of Reporting Pers Patteson Donald Douglas Jr	Symbol	2. Issuer Name and Ticker or Trading Symbol CARRIAGE SERVICES INC [CSV]				5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Midd	lle) 3. Date of 1	Earliest Tra	nsaction		(Chec	ck all applicable	e)		
10500 RICHMOND, SUITE 110	(Month/Da 0 08/02/20	-			X_ Director Officer (give below)		b Owner er (specify		
(Street)	4. If Amen Filed(Mont	dment, Date h/Day/Year)	e Original		6. Individual or Jo Applicable Line) _X_ Form filed by	-	-		
HOUSTON, TX 77042						More than One Re			
(City) (State) (Zip	) Table	I - Non-De	erivative S	ecurities Ac	quired, Disposed o	f, or Beneficia	lly Owned		
(Instr. 3) and (I	A. Deemed Execution Date, if ny Month/Day/Year)	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock					34,657	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr. 3	ansactiorDerivative H ode Securities (		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Peformance Award $(1)$	\$ 9 <u>(2)</u>	08/02/2012		А		80,000		<u>(1)</u>	08/02/2017	Common Stock	80,000

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Patteson Donald Douglas Jr 10500 RICHMOND SUITE 110 HOUSTON, TX 77042	Х					
Signatures						
/s/ Donald D. Patteson, Jr.	08/06/20	012				
<b>**</b> Signature of Reporting	Date					

## **Explanation of Responses:**

Person

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents performance-based stock awards granted under the Carriage Services, Inc. Second Amended and Restated 2006 Long-Term Incentive Plan. The award will vest (if at all) on or before August 2, 2017 on the date on which the closing price of Carriage's Common

- (1) Stock is greater than or equal to \$21.50 for the third time, whether or not consecutive, within a period of 30 consecutive calendar days. If these conditions are satisfied on or prior to the first anniversary of the grant date, which is August 2, 2013, then the vesting date of these awards will be August 2, 2013; provided that the Reporting Person has remained continuously employed by Carriage through such date.
- (2) The award represents the right of the Reporting Person to receive shares of Carriage Common Stock in exchange for payment of a purchase price equal to \$9.00 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.