

PEABODY ENERGY CORP

Form 4

February 05, 2014

**FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BOYCE GREGORY H**

(Last) (First) (Middle)

**PEABODY ENERGY  
CORPORATION, 701 MARKET  
STREET**

(Street)

**ST. LOUIS, MO 63101**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading  
Symbol**PEABODY ENERGY CORP [BTU]**3. Date of Earliest Transaction  
(Month/Day/Year)**01/08/2014**4. If Amendment, Date Original  
Filed(Month/Day/Year)5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

Chairman and CEO

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/08/2014		G <sup>(1)</sup>	V 778 D \$ 0	939 <sup>(2)</sup>	D	
Common Stock	01/08/2014		G <sup>(1)</sup>	V 778 A \$ 0	287,216 <sup>(3)</sup>	I	By Family Trust
Common Stock	02/03/2014		A <sup>(4)</sup>	51,249 A \$ 0	52,188 <sup>(2)</sup>	D	
Common Stock	02/03/2014		F	17,095 D \$ 16.59	35,093 <sup>(2)</sup>	D	
Common Stock	02/03/2014		G <sup>(1)</sup>	V 34,154 D \$ 0	939 <sup>(2)</sup>	D	

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Common Stock      02/03/2014      G<sup>(1)</sup> V 34,154 A \$ 0 321,370 I      By Family Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**      SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
BOYCE GREGORY H PEABODY ENERGY CORPORATION 701 MARKET STREET ST. LOUIS, MO 63101	X Chairman and CEO

## Signatures

Gregory H. Boyce By: Kenneth L. Wagner      02/05/2014  
Attorney-in-Fact

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction involved a gift of securities by the reporting person to a family trust.
- (2) Includes 939 shares acquired under the Company's Employee Stock Purchase Plan.
- (3)

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The amount of securities beneficially owned by Family Trust has been adjusted to correct a typographical error on the Form 4 filed on May 2, 2013 for the reporting person. The amount of securities owned after the February 8, 2013 transaction was 286,438 instead of 268,438 as reported.

- (4) The securities were issued to the reporting person pursuant to the payout of a performance unit award under the issuer's Long-Term Equity Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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