Edgar Filing: NOVAVAX INC - Form 4/A

NOVAVAX INC

Form 4/A March 25, 2	014										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL			
	UNITED	STATES		RITIES A shington,			NGE CO	OMMISSION	OMB Number:	3235-0287	
Check th if no lon subject to Section Form 4 c	ger STATEN 16.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						Expires: Estimated a burden hou response	rs per		
Form 5 obligatio may con <i>See</i> Instr 1(b).	tinue. Section 17(a) of the F	Public U		ding Con	npany	y Act of	Act of 1934, 1935 or Section)			
(Print or Type	Responses)										
Erck Stanley C Symbol				1				5. Relationship of Reporting Person(s) to Issuer			
(1 +)	(First)				-	v]		(Check	all applicable	;)	
(Last) C/O NOVA FIRSTFIEL	VAX, INC., 20			f Earliest Tr Day/Year) 2014	ransaction			_X_ Director _X_ Officer (give below) CEO		Owner er (specify	
	(Street)			endment, Da nth/Day/Yea 2014	-	1		6. Individual or Joi Applicable Line) _X_ Form filed by O	ne Reporting Pe	rson	
GAITHERS	SBURG, MD 208	78					:	Form filed by Mo Person	ore than One Re	porting	
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	Secur	ities Acqu	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8) Code V	4. Securit por Dispos (Instr. 3, 4)	ed of ((D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	03/25/2014			P	10,000	(D) A (1)	\$ 4.2698	84,868	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Erck Stanley C C/O NOVAVAX, INC. 20 FIRSTFIELD ROAD GAITHERSBURG, MD 20878	Х		CEO and President				
Signatures							
/s/ John A. Herrmann III, Attorney-in-Fact	03/25/2014						
**Signature of Reporting Person		Da	ate				

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Reporting Person is amending the Form 4 originally filed to report this purchase solely for the purpose of correcting the column 4 code. The correct code is "A".

The reported price is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$4.265 to \$4.27, inclusive. The reporting person undertakes to provide to Novavax, Inc., any security holder of Novavax, Inc., or the staff of the

(2) Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each price within the range set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.