

Beam Suntory Inc.
Form 4
May 02, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Newlands William A

(Last) (First) (Middle)

BEAM INC., 510 LAKE COOK ROAD

(Street)

DEERFIELD, IL 60015

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

Beam Suntory Inc. [NYSE: BEAM]

3. Date of Earliest Transaction (Month/Day/Year)

04/30/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)

SVP & President, NA

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (D) Price		
Common Stock, par value \$3.125	04/30/2014		D		5,084 (1)	D	
					\$ 83.5		
					0		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 67.19	04/30/2014		D	23,729	<u>(2)</u> 02/25/2015	Common Stock 23,729
Employee Stock Option (Right to Buy)	\$ 64.95	04/30/2014		D	23,729	<u>(2)</u> 02/25/2015	Common Stock 23,729
Employee Stock Option (Right to Buy)	\$ 51.08	04/30/2014		D	24,095	<u>(2)</u> 02/22/2021	Common Stock 24,095
Employee Stock Option (Right to Buy)	\$ 44.75	04/30/2014		D	56,406	<u>(2)</u> 10/04/2021	Common Stock 56,406
Employee Stock Option (Right to Buy)	\$ 55.43	04/30/2014		D	21,739	<u>(2)</u> 02/21/2022	Common Stock 21,739
Employee Stock Option (Right to Buy)	\$ 61.42	04/30/2014		D	21,947	<u>(2)</u> 02/21/2023	Common Stock 21,947
Restricted Stock Units	\$ 0	04/30/2014		D	5,617	<u>(3)</u> <u>(4)</u>	Common Stock 5,617
	\$ 0	04/30/2014		D	5,953	<u>(3)</u> <u>(4)</u>	5,953

