CLEVELAND BIOLABS INC

Form 3 June 13, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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SECURITIES

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

A McGowan Richard

(Last) (First) (Middle) Statement

(Month/Day/Year)

06/11/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

CLEVELAND BIOLABS INC [CBLI]

4. Relationship of Reporting Person(s) to Issuer

X Director

5. If Amendment, Date Original

Filed(Month/Day/Year)

25 DAWN DRIVE

(Street)

(Check all applicable)

6. Individual or Joint/Group

Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Officer _Other (give title below) (specify below)

10% Owner

Form filed by More than One

Reporting Person

WESTPORT, CTÂ 06880

(City) (State)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form: Direct (D)

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Zip)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1473 (7-02)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative

Security

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Expiration Date Exercisable Date

Title

Amount or Number of Shares

Direct (D) or Indirect (I) (Instr. 5)

1

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Series D Warrant	02/13/2009 02/13/20	16 Common Stock	200,000	\$ 1.22	I	Arline McGowan, JTWROS
Series F Warrant	12/22/2011 12/22/20	Common Stock	25,000	\$ 5	I	by Richard and Arline McGowan, JTWROS

Reporting Owners

Reporting Owner Name / Address	Relationships					
1 8	Director	10% Owner	Officer	Other		
McGowan Richard	^	^	^	^		
25 DAWN DRIVE	ÂΧ	Â	A	A		
WESTPORT, CT 06880						

Signatures

/s/Leah Brownlee, Attorney-in-fact for Richard
McGowan

06/13/2014

**Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2

^{**} Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).