Edgar Filing: ADVANCED ENERGY INDUSTRIES INC - Form 4

ADVANCEI Form 4 June 16, 2014	D ENERGY INDUST 4	RIES INC							
FORM	1						PPROVAL		
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							3235-0287		
Check thi if no long	ar						January 31, 2005		
subject to STATEMENT OF CHANGES IN BENEFICIAL OWNER Section 16. SECURITIES					NERSHIP OF	burden hou	ated average en hours per		
Form 4 or Form 5		to Section 16(a) of th	e Securities Ex	change	Act of 1934	response	0.5		
obligation may conti <i>See</i> Instru 1(b).	$\frac{1}{1}$ Section $17(a)$ of	the Public Utility Hol (h) of the Investment	ding Company	Act of	1935 or Sectio	n			
(Print or Type R	Responses)								
1. Name and A BALL FREI	ddress of Reporting Perso DERICK	Symbol ADVANCED El	Issuer Name and Ticker or Trading nbol DVANCED ENERGY DUSTRIES INC [AEIS]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 1625 SHAR	(First) (Middle P POINT DRIVE	3. Date of Earliest T (Month/Day/Year) 06/16/2014				_X_Director10% Owner Officer (give titleOther (specify below)below)			
Filed(Mon			Amendment, Date Original (Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
FORTCOL	LINS, CO 80525				Person		1 0		
(City)	(State) (Zip)	Table I - Non-I	Derivative Securit	ties Acqu	uired, Disposed o	f, or Beneficial	lly Owned		
1.Title of Security (Instr. 3)	any	cution Date, if Transact Code onth/Day/Year) (Instr. 8)	4. Securities Action(A) or Disposed (D) (Instr. 3, 4 and 5 (A) or 7 Amount (D)	d of 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial		
Common Stock	06/16/2014	S	$\frac{1,500}{(1)}$ D	¢	47,000 <u>(2)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	ate	Under Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Edgar Filing: ADVANCED ENERGY INDUSTRIES INC - Form 4

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
BALL FREDERICK 1625 SHARP POINT DRIVE FORT COLLINS, CO 80525	Х					
Signatures						
Thomas O. McGimpsey - Attorney-in-Fact		06/16/2014				
**Signature of Reporting Person		Γ	Date			

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sales reported in this Form 4 were pursuant to a Rule 10b5-1 plan.

Mr. Ball has been granted a total of 59,000 RSUs in seven grants: (A) 15,000 RSUs granted on October 28, 2008; (B) 6,000 RSUs granted on May 6, 2009; (C) 6,000 RSUs granted on May 4, 2010; these RSU grants vest annually in four equal installments beginning 1

(2) year after grant date. (D) 8,000 RSUs granted May 4, 2011 (E) 8,000 RSUs granted May 2, 2012; (F) 8,000 RSUs granted May 1, 2013; (G) 8,000 RSUs granted May 7, 2014; all of these (D-G) vest 100% at the first anniversary of the grant date. As of this filing, the RSU shares have vested as to 51,000 shares and Mr. Ball holds 39,000 of the vested RSUs.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.