#### Edgar Filing: AMKOR TECHNOLOGY INC - Form 4

AMKOR TECHNOLOGY INC Form 4 September 11, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading KIM JAMES J Issuer Symbol AMKOR TECHNOLOGY INC (Check all applicable) [AMKR] (Last) (First) (Middle) 3. Date of Earliest Transaction X\_ Director 10% Owner Х Other (specify Officer (give title (Month/Day/Year) below) below) 1900 S. PRICE ROAD 09/09/2014 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting CHANDLER, AZ 85286 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 7. Nature of 3. 4. Securities Acquired 5. Amount of 6. Execution Date, if Security (Month/Day/Year) Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial any (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) (D) Price Code V Amount Common 09/09/2014 M 60.000 A 60,000 D 5.31 Stock 915 Common 49,594,980 Ι Investments, Stock LP (1) (2) Common as trustee (2)5,863,898 Ι (3) Stock Common as trustee (2)1,957,350 Ι (4) Stock as trustee (2) Common 15,385,858 Ι (5) Stock

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Common Stock					23	]	I	by spouse (2)						
Reminder: Report on a separate line for each class of securities benefici			Persons who respond to the collection of information contained in this form are not			SEC 1474 (9-02)								
				required to respond unless the form displays a currently valid OMB control number.										
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	ransactionDerivative Expiration Code Securities (Month/Day		ate		7. Title and Amount of Underlying Securities (Instr. 3 and 4)					
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numbo of Sha					
Employee Stock Option (Right to Buy)	\$ 5.31	09/09/2014		М	60,000	<u>(6)</u>	11/12/201	Amkor Technology, 4 Inc. Common Stock	60,00					

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Kelationships							
	Director	10% Owner	Officer	Other				
KIM JAMES J								
1900 S. PRICE ROAD	Х	Х						
CHANDLER, AZ 85286								
Signatures								
Jerry C. Allison, Attorney-in-F J. Kim	09/11/2014							
**Signature of Reporting Pe	Date							

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Relationships

- (1) Shares held by 915 Investments, LP, in which Reporting Person is the general partner.
- (2) The Reporting Person disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein, and this report shall not be deemed an admission that the Reporting Person is the beneficial ownership of such securities, except to the extent of his

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pecuniary interest therein, for purposes of the Securities and Exchange Act of 1934, as amended, or for any other purpose.

- (3) Shares held by the James J. Kim 2013 Qualified Annuity Trust, of which the Reporting Person is trustee.
- (4) Shares held by the John T. Kim 2012 Generation-Skipping Trust U/A dated 12/11/12, of which the Reporting Person is trustee.
- (5) Shares held by the John T. Kim 2012 Irrevocable Trust dated 12/11/12, of which the Reporting Person is trustee.
- (6) This option was fully vested on November 12, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.